

## Advanced Topics Multimedia Video (5LSH0), Module 01

### Introduction to (01A) Wavelet Coding, and (01B) JPEG2000

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## Overview

- \* **A1: Wavelet transform**
  - Introduction and Fourier analysis
  - Subband filters, Quadrature Mirror Filters
  - Wavelets
- \* **A2: Wavelet video coding**
  - Wavelet coefficient coding for compression
  - Intraframe and interframe coding
- \* **B: JPEG2000 standard**
  - Standard principles
  - Special coding modes, forms of scalability



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## Module 01A (part 1): Introduction to Wavelet Theory

Fourier analysis, STFT, Wavelet and  
Scaling functions, Filter banks



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## Introduction / Random signal

- \* **A given (random) signal:**
  - Consists of low and high frequencies
  - These frequencies vary in amplitude
  - These frequencies may exist for a defined duration, so may exist only for a short amount of time or say at a given moment/location
  - Generally assumed the signal can be decomposed into a set of **basis functions**



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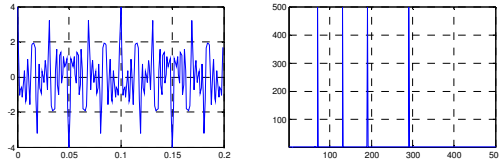


## Introduction / Signal example

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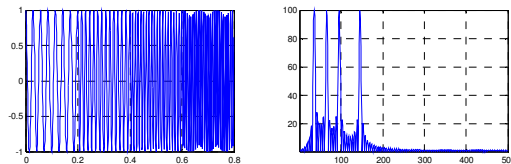
### Stationary signal:

```
x = cos(2*pi*70*t)+cos(2*pi*130*t)+cos(2*pi*190*t)+cos(2*pi*290*t)
```



### Non-stationary signal:

```
x() = cos(2*pi* 35*t( 1:200))+ cos(2*pi* 65*t(201:400))+  
cos(2*pi* 95*t(401:600))+ x(601:800)+cos(2*pi*145*t(601:800));
```



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## Introduction / random signal

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\* For signal **analysis** one would like a tool, which provides these properties:

- Frequency
- Amplitude
- Location

\* **Motivation:** analysis components can be used as input for (a.o.) compression systems.

- Which “tools” suffice?

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## Fourier transform

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Fourier transform based on a signal decomposition of **sine/cosine** waves:

$$F(\omega) = \int_{-\infty}^{\infty} f(t)e^{-j\omega t} dt$$

\* It has:

- Good sine wave detection/recognition
- No time-localization property
- No transient detection

\* **Good tool for frequency analysis** where **time-localization is not important.**

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## Short-Time Fourier Transform (STFT) –(1)

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\* **Because:** if  $f(t)$  is a **non-periodic** signal, the summation of periodic functions, sine & cosine (as with Fourier), does **not accurately** represent the signal.

\* **STFT:** decompose the signal  $f(t)$  **into pieces** to achieve time-localization by means of a “window”-function

(a.k.a. Windowed Fourier Transform)

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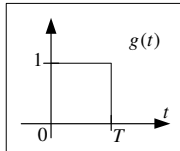
## Short-Time Fourier Transform – (2)

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### \* Short-Time:

– Definition:  $F(\omega, \tau) = \int_{-\infty}^{\infty} f(t)g(t-\tau)e^{-j\omega t} dt$

– Where  $g(t)$  is a rectangular window,  
 $g(t)=1$  for  $t \in [0, T]$  and  $g(t)=0$  otherwise



– Sometimes other window functions are used e.g. if  $g(t)$  is Gaussian, the STFT is the Gabor transform

## Short-Time Fourier Transform – (3)

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### \* Properties:

- Able to analyze frequencies
- Able to do time-localization but...
  - The localization window is fixed! Hereby not scaling along with the (low-high) frequencies.
    - High frequencies are (very) location precise
    - Low frequencies are location in-precise

## Short-Time Fourier Transform – (4)

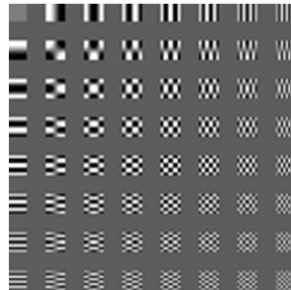
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### \* Image & video coding is based on DCT

– 8x8 video block sampling

### \* 2D DCT decomposition

- for 8x8 blocks
- Figure shows basis functions
- Patterns for projections

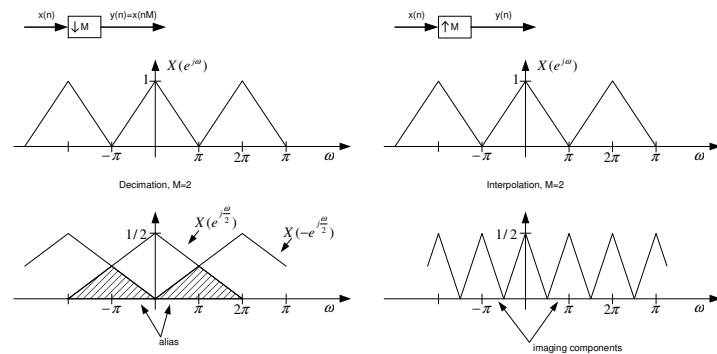


### \* However, ...

– Block aliasing occurs

## Subband coding – (1) / Fundamentals of multirate theory

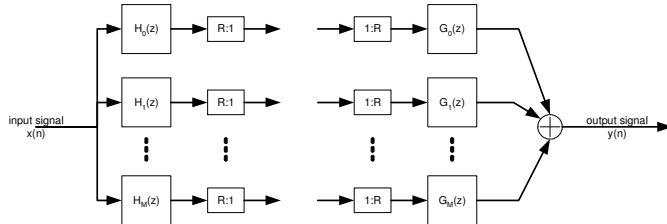
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## Subband coding – (2) / M-subband Analysis/Synth. System

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- \* Analysis, low-/highpass filter pairs  $H_0, H_1, \dots, H_M$
- \* Synthesis, low-/highpass filter pairs  $G_0, G_1, \dots, G_M$



## Subband coding theory – (3) Subband Analysis/Synthesis Filters

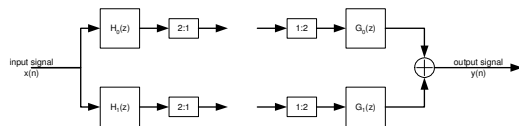
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- \* Design of such band filters requires **great care**, they need to eliminate:

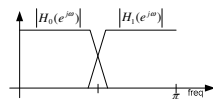
- **Aliasing**, which adds to (visual) distortion (due to decimation)
- Possible spectral magnitude & spectral phase **distortion**
- Constraints exist on **total full-chain response**, bandwidth suppression in certain intervals, etc.

## Subband coding theory – (4) / One-dimensional filter pair (2-band)

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- \* Analysis filter magnitude responses



- \* Transfer function

$$Y(z) = \frac{1}{2} [H_0(z) \cdot G_0(z) + H_1(z) \cdot G_1(z)] \cdot X(z) + \frac{1}{2} [H_0(-z) \cdot G_0(z) + H_1(-z) \cdot G_1(z)] \cdot X(-z)$$

## Subband coding theory – (5) / Design Quadrature Mirror Filters (QMF)

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- \* Biorthogonality principle for perfect reconstruction

$$Y(z) = \frac{1}{2} [H_0(z) \cdot G_0(z) + H_1(z) \cdot G_1(z)] \cdot X(z) + \frac{1}{2} [H_0(-z) \cdot G_0(z) + H_1(-z) \cdot G_1(z)] \cdot X(-z)$$

- \* Required:  $[H_0(z) \cdot G_0(z) + H_1(z) \cdot G_1(z)] = 2 \cdot z^{-k}$

- \* Alias:  $[H_0(-z) \cdot G_0(z) + H_1(-z) \cdot G_1(z)] = 0$

- \* When:  $G_0(z) = z^k \cdot H_1(-z)$  and  $G_1(z) = -z^k \cdot H_0(-z)$

## Subband coding theory – (6) Quadrature Mirror Filters (QMF)

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- \*  $H_0$  with  $H_1$  and  $G_0$  with  $G_1$  are **orthogonal** pairs  
since  $H_1(z) = H_0(-z)$  therefore  $h_1(n) = (-1)^k \cdot h_0(n)$
- \* For filter coefficients, it holds (HP mirror of LP):  
i.e.  $H_1(e^{j\omega}) = H_0(e^{j(\pi-\omega)})$   
substituting  $\omega = \frac{\pi}{2} - \omega$   
hence  $H_1(e^{j(\frac{\pi}{2}-\omega)}) = H_0(e^{j(\frac{\pi}{2}+\omega)})$
- \* Shows the **mirror image** property around  $\pi/2$ , hence  $H_0$  and  $H_1$  are called **QMFs**

## Subband coding theory – (7) / Quadrature Mirror Filters (QMF)

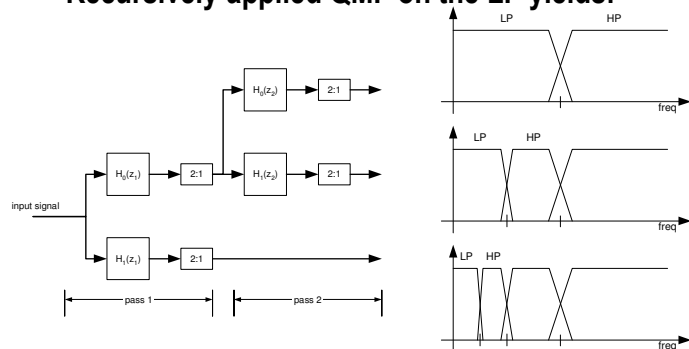
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- \* **Remarks:**
  - QMFs can also be designed in a **M-band** signal split setup (could of course also be done recursively with the 2-band split).
  - QMFs provide **perfect reconstruction** (allowing for a given time-delay) in the filter-bank.
  - However they are **not naturally good frequency cut-off** filters, which has an impact on usability for compression or other signal analysis.

## Subband coding theory – (8) / Repeated decomposition

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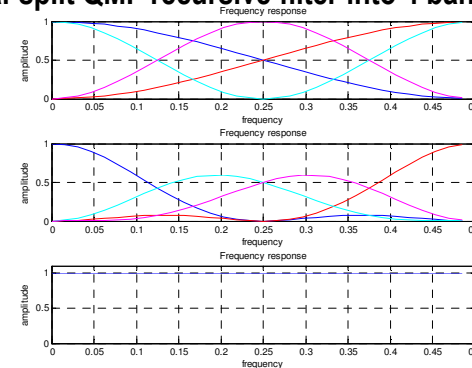
- \* Recursively applied QMF on the LP yields:



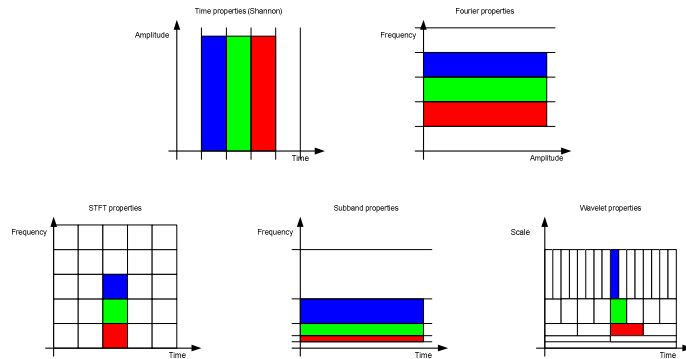
## Subband coding theory – (9) / Case

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- \* **Dual-split QMF recursive filter into 4 bands**



## Overview of time-frequency for different decompositions 21



## Wavelets / Introduction 22

\* With the STFT, the **time window remains equal** while other cycles (i.e. frequency) of the **basis function** (sine/cosine) existed in that window

\* With a **wavelet basis function**, the **window size changes** while the #cycles remains equal

\* Cycle and location detection are done by “**scaling**” and “**translating**” the **basis function / mother wavelet**

## Wavelets / Mother wavelet 23

\* ‘Mother’ maintains its properties, derive the remaining scaled and translated wavelet functions (“psi”) by:

$$\psi_{a,b}(t) = \frac{1}{\sqrt{a}} \psi\left(\frac{t-b}{a}\right)$$

where **a** represents **scaling** and **b** represents **translation**

\* **Scaling**  $\frac{1}{\sqrt{a}}$  is needed to maintain “norm”

$$\|f(t)\|^2 = \int_{-\infty}^{\infty} f^2(t) dt$$

## Wavelets / Continuous functions 24

\* Defining Continuous Wavelet Transform (CWT)

$$y(a,b) = \int_{-\infty}^{\infty} x(t) \cdot \psi_{a,b}(t) dt$$

\* and its inverse

$$x(t) = \frac{1}{C_\psi} \int_{-\infty}^{\infty} \int_0^{\infty} \frac{1}{a^2} y(a,b) \psi_{a,b}(t) da db$$

where  $C_\psi = \int_0^{\infty} \frac{|\Psi(\omega)|^2}{\omega} d\omega$  and  $\Psi(\omega) = F[\psi(t)]$

as its Fourier transform

## Wavelets / Energy constraint

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\*Needed  $\Psi(0) = 0$  for the integral to exist:  $\int_{-\infty}^{\infty} \psi(t) dt = 0$

➤ Hence the wavelet function is a “specific” HP-filter, avg = 0

\*And we would like finite energy (via Parseval)

$\int_{-\infty}^{\infty} |\Psi(\omega)|^2 d\omega < \infty$  this happens if  $|\Psi(\omega)|^2$  decays

when  $\omega$  goes to  $\infty$

➤ Energy now in narrow frequency band: => **frequency localization!**

## Wavelets / Definition of Discrete WT

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\* Discrete Wavelet Transform (DWT)

let  $a = a_0^{-m}$ ,  $b = n \cdot b_0 \cdot a_0^{-m}$

then  $\psi_{m,n}(t) = a_0^{m/2} \cdot \psi(a_0^m t - nb_0)$ ,  $m, n \in \mathbb{Z}$

for  $a_0 = 2$ ,  $b_0 = 1$ , we have  $\psi_{m,n}(t) = 2^{m/2} \cdot \psi(2^m t - n)$

➤ Dyadic wavelets: **integer time shift and power of two scaling.**

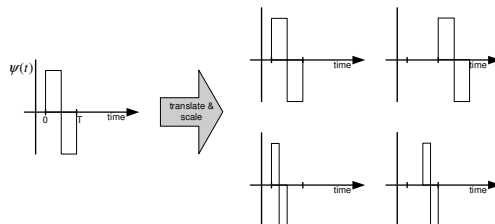
➤ ...these are however not the only possible DWT.

## Wavelets / Example of shifting, scaling

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\* Example: the Haar wavelet

$$\psi(t) = \begin{cases} 1 & 0 \leq t < \frac{1}{2} \\ -1 & \frac{1}{2} \leq t < 1 \end{cases}$$

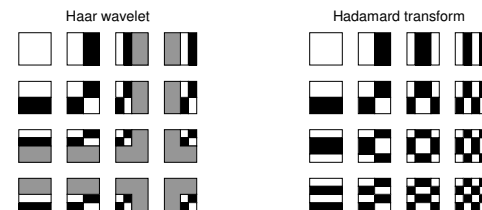


## Wavelets / Compare Haar & Hadamard

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\* Haar wavelet versus the Hadamard transform

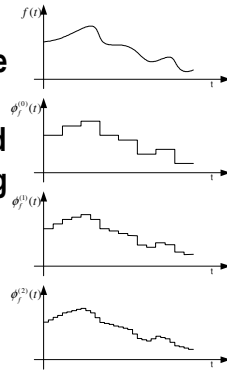
– 2D decomposition (2x1D)



## Wavelets / Scaling function

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- \* **Scaling function**  $\phi(t)$  has the property that function  $f(t)$  can be represented by the scaling function, but also be represented by **dilated versions** of the scaling function (“phi”)
- \* Scaling function acts as the **LP counterpart** of the wavelet
- \* Hence: composition is phi+psi!



## Wavelets /

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### Multi Resolution Analysis (MRA) – (1)

- \* Scaling function can also be represented by its dilations at a higher resolution (special case with half-band filters)

$$\phi(t) = \sum_k h_k \sqrt{2} \phi(2t - k)$$

- \* A.k.a. **dilation equation**

## Wavelets /

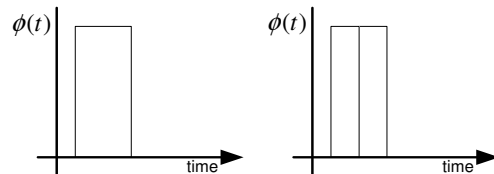
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### Multi Resolution Analysis (MRA) – (2)

$$\phi(t) = \sum_k h_k \sqrt{2} \phi(2t - k)$$

- \* Does it hold for the Haar scaling function?

$$\phi(t) = \begin{cases} \frac{1}{\sqrt{2}} & 0 \leq t < 1 \\ 0 & \text{otherwise} \end{cases} \quad h_0 = h_1 = \frac{1}{\sqrt{2}}, \quad h_k = 0 \quad \text{for } k > 1$$



## Wavelets /

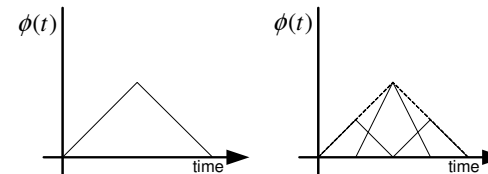
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### Multi Resolution Analysis (MRA) – (3)

$$\phi(t) = \sum_k h_k \sqrt{2} \phi(2t - k)$$

- \* Does it hold for the Triangular scaling function?

$$h_0 = \frac{1}{2\sqrt{2}}, \quad h_1 = \frac{1}{\sqrt{2}}, \quad h_2 = \frac{1}{2\sqrt{2}}$$





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## Wavelets / Scaling function, examples – (1)


\* **Given rules:**  $\sum_k h_k = \sqrt{2}$  and  $\sum_k h_k^2 = 1$   
(normalisation & orthogonality)

\* **Suppose**  $k=2$ ,  $h_0 + h_1 = \sqrt{2}$   
 $h_0^2 + h_1^2 = 1$

\* **Results in the Haar scaling function**

$$h_0 = h_1 = \frac{1}{\sqrt{2}}$$


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## Wavelets / Scaling function, examples – (2)

\* **Given rules:**  $\sum_k h_k = \sqrt{2}$ ,  $\sum_k h_k^2 = 1$  and  $\sum_k h_k h_{k-2m} = \delta_m$   
(normalisation & orthogonality, like for perfect reconstruction)

\* **Suppose**  $k=3$ ,  $h_0 + h_1 + h_2 = \sqrt{2}$   
 $h_0^2 + h_1^2 + h_2^2 = 1$   
 $h_0 h_2 = 0$

\* **...the two-coefficient Haar scaling function!**  
– In case of an odd number of coefficients, 1 coefficient will be forced zero. Thus: always an **even number** of coefficients.

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## Wavelets / Scaling function, examples – (3)


\* **Given rules:**  $\sum_k h_k = \sqrt{2}$ ,  $\sum_k h_k^2 = 1$  and  $\sum_k h_k h_{k-2m} = \delta_m$   
(normalisation & orthogonality, like for perfect reconstruction)

\* **Suppose**  $k=4$ ,  $h_0 + h_1 + h_2 + h_3 = \sqrt{2}$   
 $h_0^2 + h_1^2 + h_2^2 + h_3^2 = 1$   
 $h_0 h_2 + h_1 h_3 = 0$

\* **Results: more possible solutions (Daubechies 4)...**

$$h_0 = \frac{1+\sqrt{3}}{4\sqrt{2}}, h_1 = \frac{3+\sqrt{3}}{4\sqrt{2}}, h_2 = \frac{3-\sqrt{3}}{4\sqrt{2}}, h_3 = \frac{1-\sqrt{3}}{4\sqrt{2}}$$


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## Wavelets / Scaling vs. Wavelet function – (1)


\* **The wavelet function is orthogonal to the scaling function**  $\int \phi(t-k)\psi(t-m)dt = 0$

then  $w_k = \pm(-1)^k h_{N-k}$

and  $\sum_k h_k w_{n-2k} = 0$

Furthermore  $\sum_k w_k = 0$

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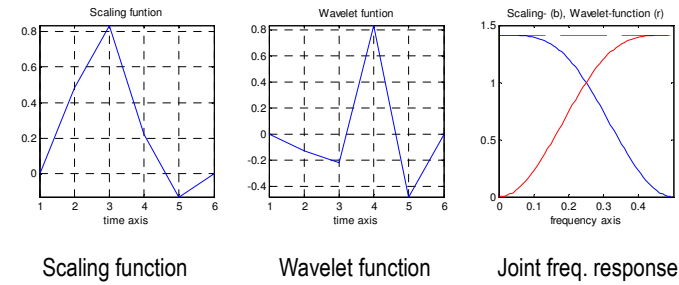
## Wavelets / Scaling vs. Wavelet function – (2)

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- \* The **scaling function** acts  
as a **low-pass (LP)** filter
- \* The **wavelet function** acts  
as a **high-pass (HP)** filter

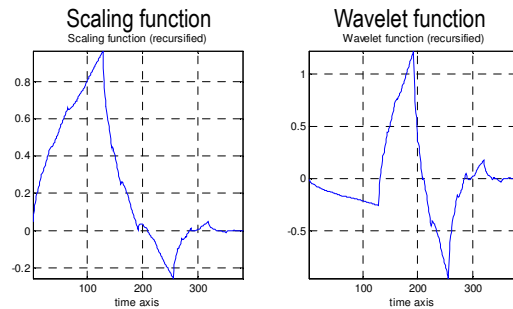
## Wavelets / Daubechies 4 – (1)

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## Wavelets / Daubechies 4 – (2)

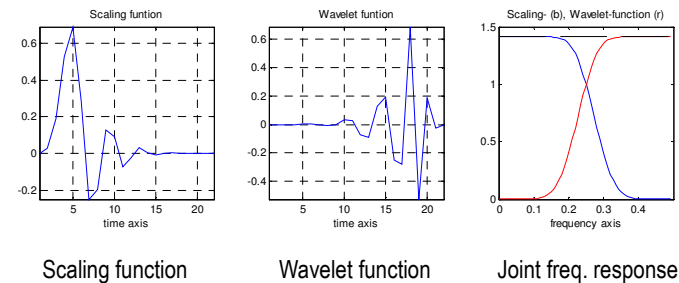
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- \* ...notice the recursive (fractal-like) nature

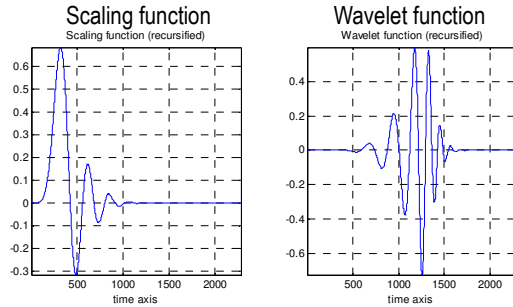
## Wavelets / Daubechies 20 – (1)

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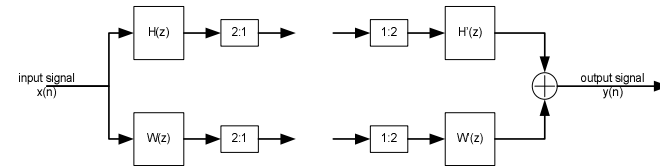
## Wavelets / Daubechies 20 – (2)

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## Wavelets and filterbanks

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### Analysis:

- $H$ , the **scaling** function
- $W$ , the **wavelet** function

$$w_k = (-1)^k h_{n-k-1}$$

### Synthesis:

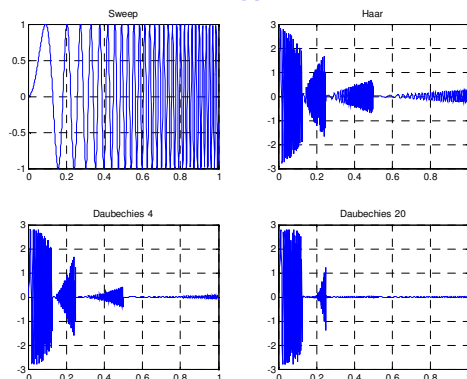
- $H'$  for LP reconstruction
- $W'$  for HP reconstruction

$$h_k^{-1} = \begin{cases} h_k & k = \text{odd} \\ h_{n-k-1} & k = \text{even} \end{cases}$$

$$w_k^{-1} = (-1)^k h_{n-k-1}^{-1}$$

## Wavelets/ towards compression compare the energy compaction...!

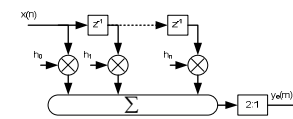
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## Wavelets / Lifting introduction – (1)

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- \* The Scaling & Wavelet function are **FIR filters**, hence they can be implemented in a normal (direct-form) FIR structure



where the result is sub-sampled after FIR filtering

## Wavelets / Lifting introduction – (2)

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\* Write out the actual multiplications:

$$\begin{aligned}
 y_0 &= h_0 \cdot x_0 + h_1 \cdot x_1 + h_2 \cdot x_2 + h_3 \cdot x_3 + \dots \\
 y_1 &= h_0 \cdot x_1 + h_1 \cdot x_2 + h_2 \cdot x_3 + h_3 \cdot x_4 + \dots \\
 y_2 &= h_0 \cdot x_2 + h_1 \cdot x_3 + h_2 \cdot x_4 + h_3 \cdot x_5 + \dots \\
 y_3 &= h_0 \cdot x_3 + h_1 \cdot x_4 + h_2 \cdot x_5 + h_3 \cdot x_6 + \dots \\
 y_4 &= h_0 \cdot x_4 + h_1 \cdot x_5 + h_2 \cdot x_6 + h_3 \cdot x_7 + \dots \\
 y_5 &= h_0 \cdot x_5 + h_1 \cdot x_6 + h_2 \cdot x_7 + h_3 \cdot x_8 + \dots
 \end{aligned}$$

\* Notice the odd/even coefficient/data dependency:

– the same parity has the same MUAC operations!

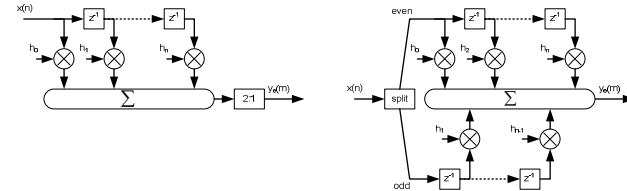
## Wavelets / Lifting introduction – (3)

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\* Hence symbolically:

$$y_{\text{even}} = h_{\text{even}} \cdot x_{\text{even}} + h_{\text{odd}} \cdot x_{\text{odd}}$$

and



## Wavelets /

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### Special fast implementation: Lifting

\* For an efficient implementation, compare with the fast DCT (Lifting developed by W. Sweldens and others)

1) The signal is **split in odd and even samples**

2) Hereafter:

$$\begin{aligned}
 \text{odd}[n] &= \text{odd}[n-1] - \text{Predict}(\text{even}[n]) \\
 \text{even}[n] &= \text{even}[n-1] + \text{Update}(\text{odd}[n])
 \end{aligned}$$

➤ Here illustrated with Daubechies 4-tap

$$h_0 = \frac{1+\sqrt{3}}{4\sqrt{2}}, h_1 = \frac{3+\sqrt{3}}{4\sqrt{2}}, h_2 = \frac{3-\sqrt{3}}{4\sqrt{2}}, h_3 = \frac{1-\sqrt{3}}{4\sqrt{2}}$$

## Wavelets / Lifting (forward transform)

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after Split  $x(0) \dots x(\text{half}-1) \Rightarrow$  even samples,  $x(\text{half}) \dots x(N-1) \Rightarrow$  odd samples

\* Update 1 (even):

```
for n=0:half-1
  x(n) = x(n)+sqrt(3)*x(half+n)
```

\* Predict 1 (odd):

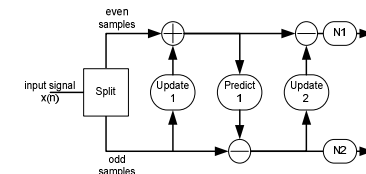
```
x(half) = x(half)-sqrt(3)/4*x(0)-(sqrt(3)-2)/4*x(half-1)
for n=1:half-1
  x(half+n) = x(half+n)-sqrt(3)/4*x(n)-(sqrt(3)-2)/4*x(n-1)
```

\* Update 2 (even):

```
for n=0:half-2
  x(n) = x(n)-x(half+n+1)
x(half-1) = x(half-1)-x(half)
```

\* Normalize (both):

```
for n=0:half-1
  x(n) = (sqrt(3)-1)/sqrt(2)*x(n)
  x(n+half) = (sqrt(3)+1)/sqrt(2)*x(n+half)
```



## Wavelets / Lifting (inv., mirror forward) 49

\* Normalize' (both):

for  $n=0:\text{half}-1$

$$x(n) = (\text{sqrt}(3)+1)/\text{sqrt}(2) * x(n)$$

$$x(n+\text{half}) = (\text{sqrt}(3)-1)/\text{sqrt}(2) * x(n+\text{half})$$

\* Update 2' (even):

for  $n=0:\text{half}-2$

$$x(n) = x(n) + x(\text{half}+n+1)$$

$$x(\text{half}-1) = x(\text{half}-1) + x(\text{half})$$

\* Predict 1' (odd):

$$x(\text{half}) = x(\text{half}) + \text{sqrt}(3)/4 * x(0) + (\text{sqrt}(3)-2)/4 * x(\text{half}-1)$$

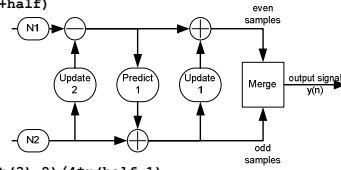
for  $n=1:\text{half}-1$

$$x(\text{half}+n) = x(\text{half}+n) + \text{sqrt}(3)/4 * x(n) + (\text{sqrt}(3)-2)/4 * x(n-1)$$

\* Update 1' (even):

for  $n=0:\text{half}-1$

$$x(n) = x(n) - \text{sqrt}(3) * x(\text{half}+n)$$



after Merge the output signal  $y(n)$  is available

## Module 01A (part 2): Introduction to wavelet theory

Coefficient coding EZW and SPIHT,  
Intraframe and interframe schemes

## Wavelet Video Coding 51

### \* Intraframe (within a picture)

- Still pictures
- Only 2D, within on field/frame

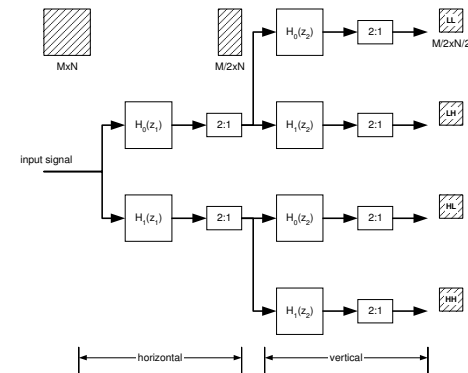
### \* Interframe (between pictures)

- Moving video
- 2D+time, sometimes called 3D

(true 3D coding is a completely different topic)

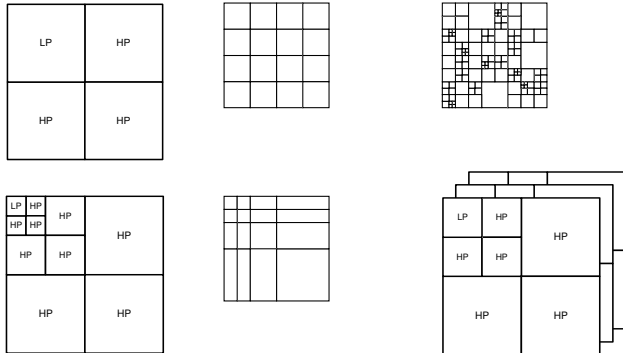
## Wavelet intraframe video coding – (1) 52

### Two-dimensional filterbank



## Wavelet intraframe video coding – (2) Example subband decompositions

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## Wavelet intraframe video coding - (3) LENA example

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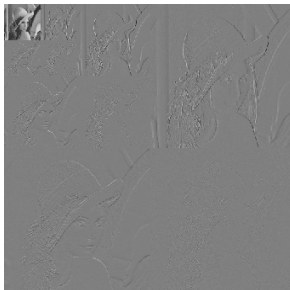


## Wavelet intraframe video coding – (4) LENA, 10-band decomposition

55

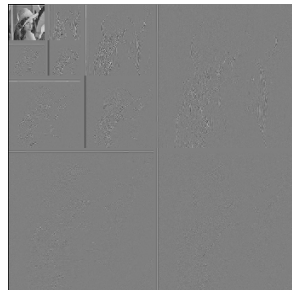
Haar wavelets

Haar transform



Daubechies-20 wavelets

Daubechies20 transform



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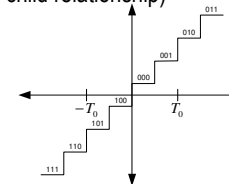
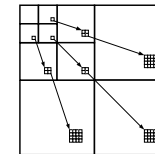


## Wavelet intraframe video coding – (5)

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\* **Observation: the Wavelet (HP) sections are**

- “relative” empty
- related in the kind of information they carry (parent-child relationship)



**Coding example, with a  $N$ -bit midrise quantizer: code a (complete or sub-) tree with less bits if all elements are below  $T_0$ .**

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## Wavelet coefficient coding – (1) Embedded Zero-tree Wavelet (EZW)

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- \* Invented by J. Shapiro, 1993
- \* **Multi-pass (recursive) “tree” algorithm**, each pass consisting of a Dominant pass (or significance map encoding) and a Subordinate pass (or refinement)
- \* **Encoding stops when the bit-budget is exhausted, or another criterion is satisfied (e.g. a quality metric)**

## Wavelet coefficient coding – (2) Embedded Zero-tree Wavelet (EZW)

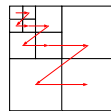
58

- \* **Dominant pass**
  - Given a threshold  $T$ 
    - initially  $T_0 = 2^{\lfloor \log_2 c_{\max} \rfloor}$  where  $c_{\max}$  is the largest (abs) coefficient
    - And  $T_i = \frac{1}{2} \cdot T_{i-1}$  recursively
  - Coefficient has a magnitude larger than  $T$ : **significant positive (sp)** or **significant negative (sn)**
  - Coefficient has a magnitude smaller AND all its descendants have magnitudes less than  $T$ : **zerotree root (zr)**
  - Coefficient has a magnitude smaller but one or more of its descendants have magnitudes larger than  $T$ : **isolated zero (iz)**
  - Each indicator costing 2 bits

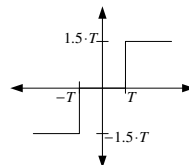
## Wavelet coefficient coding – (3) Embedded Zero-tree Wavelet (EZW)

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- \* **Scanning** order for encoding



- \* **3-level Midtread quantizer**  
(for reconstruction)



## Wavelet coefficient coding – (4) Embedded Zero-tree Wavelet (EZW)

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- \* **Subordinate / refinement pass**
  - Reconstruct: reconstruction value =  $1.5 \cdot T_i$
  - Compute difference (pos/neg) with original and quantize with a two-level quantizer (costing 1 bit)

$$\text{reconstructed correction} \pm \frac{T_i}{4}$$

## Wavelet coefficient coding - (5) Embedded Zero-tree Wavelet (EZW)

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\* **Example**

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

\* **Initial threshold ( $T_0$ ) is 16**

\* **First scan (upper left): sp zr zr zr**  $L_s=\{26\}$

– Encoding costs: 8 bits

## Wavelet coefficient coding - (6) Embedded Zero-tree Wavelet (EZW)

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\* **Refinement pass,  $1.5 \times 16 = 24$**

- $26 - 24 = 2$  hence positive
- Encoding cost 9 bits

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

\* **Reconstruction incl. refinement,**

- Correction is  $T_0 / 4 = 4$

28	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0

## Wavelet coefficient coding – (7) Embedded Zero-tree Wavelet (EZW)

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\* **3-pass result from example**

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

**Pass 1 ( $T_0 = 16$ ): sp zr zr zr** 8 bits

$L_s = \{26\}$

**Pass 2: iz zr zr sp sp iz iz** 14 bits

$L_s = \{26, 13, 10\}$

**Pass 3: sp sn sp sp sp sn iz iz sp iz iz iz** 26 bits

$L_s = \{26, 13, 10, 6, -7, 7, 6, 4, -4, 4\}$

## Wavelet coefficient coding – (8) Set Partitioning In Hierarchical Trees (SPIHT)

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\* **Invented by A. Said & W. Pearlman, 1996**

- offering improved coding performance over EZW

\* **Main idea: a multi-pass (recursive) “tree” algorithm is equal to EZW.**

- It stops when a constraint is met (e.g. exhausted bit-budget)

\* **In each step the following 3 lists are managed (in order of execution):**

1. List of Insignificant Pixels (LIP)
2. List of Insignificant Sets (LIS)
3. List of Significant Pixels (LSP)



## Wavelet coefficient coding – (9) Set Partitioning In Hierarchical Trees (SPIHT)

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### \*Possible Sets (or Trees) are:

- $D$  :set of descendants with insignificant children
- $L$  :set of descendants with significance (not in LIS since recognition of  $D$  suffices)

\*Significance depending on threshold:  $T_i = 2^{n_i}$  where  
 $n_0 = \lfloor \log_2 c_{\max} \rfloor$  and  $n_i = n_{i-1} - 1$  (eff. bitplane coding)

### \*Initialization:

- LIP: root nodes
- LIS: root sets, except top
- LSP: empty

## Wavelet coefficient coding – (10) Set Partitioning In Hierarchical Trees (SPIHT)

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### \* Example

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

### \* Initialization:

- LIP =  $\{(0,0)26, (0,1)6, (1,0)-7, (1,1)7\}$
- LIS =  $\{(0,1)D, (1,0)D, (1,1)D\}$
- LSP =  $\{\}$

## Wavelet coefficient coding – (11) Set Partitioning In Hierarchical Trees (SPIHT)

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### \* Initialization

- LIP =  $\{(0,0)26, (0,1)6, (1,0)-7, (1,1)7\}$
- LIS =  $\{(0,1)D, (1,0)D, (1,1)D\}$
- LSP =  $\{\}$

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

### \* First pass, n=4, T=16:

- LIP =  $\{(0,1)6, (1,0)-7, (1,1)7\}$
  - LIS =  $\{(0,1)D, (1,0)D, (1,1)D\}$
  - LSP =  $\{(0,0)26\}$
- Encoding 10000 000

## Wavelet coefficient coding – (12) Set Partitioning In Hierarchical Trees (SPIHT)

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### \* First pass, n=4, T=16:

- LIP =  $\{(0,1)6, (1,0)-7, (1,1)7\}$
- LIS =  $\{(0,1)D, (1,0)D, (1,1)D\}$
- LSP =  $\{(0,0)26\}$

26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

### \* Second pass, n=3, T=8:

- LIP =  $\{(0,1)6, (1,0)-7, (1,1)7, (1,2)6, (1,3)4\}$
  - LIS =  $\{(1,0)D, (1,1)D\}$
  - LSP =  $\{(0,0)26, (0,2)13, (0,3)10\}$
- Encoding 000 110100000 0

## Wavelet coefficient coding – (13) 69

### Set Partitioning In Hierarchical Trees (SPIHT)

\* **Second pass, n=3, T=8:**

- LIP = {(0,1)6, (1,0)-7, (1,1)7, (1,2)6, (1,3)4}
- LIS = {(1,0)D, (1,1)D}
- LSP = {(0,0)26, (0,2)13, (0,3)10}

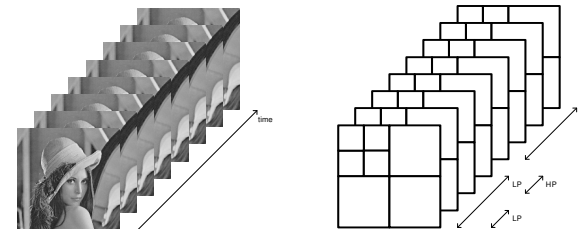
26	6	13	10
-7	7	6	4
4	-4	4	-3
2	-2	-2	0

\* **Third pass, n=2, T=4:**

- LIP = {(3,0)2, (3,1)-2, (2,3)-3, (3,2)-2, (3,3)0}
- LIS = {}
- LSP = {(0,0)26, (0,2)13, (0,3)10, 6, -7, 7, 6, 4, 4, -4, 4}
- Encoding 1011101010 1101100110000 010 etc.

## Wavelet interframe video coding – (1) 70

\* **3D Wavelet Transform (no motion information)**



\* **Grouping pictures (GOP/GOF): boundary effects!**

\* **Wavelet encoding by: 3D-EZW, 3D-SPIHT, ...**

## Wavelet interframe video coding – (2) 71

\* **Video coding & motion compensation (MC)**

-For good video compression performance one needs motion compensation to get the highest correlation between frames (e.g. MPEG-2)

-A good motion estimator (ME) is required

- issues in: "Motion Estimation Techn. Overview"

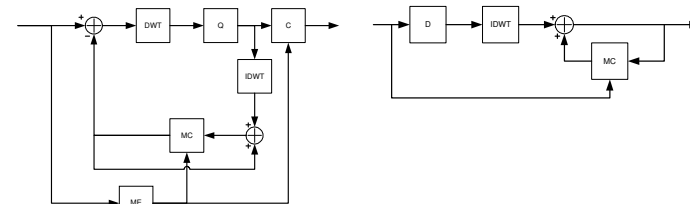
-Wavelets combined with MC prediction is still in its early stages

## Wavelet interframe video coding – (3) 72

\* **Possible replacement of DCT by Wavelet for a Motion Compensation loop (block issues)**

\* **Encoder diagram /**

**Decoder diagram**



## Wavelets conclusions / Complexity & coding aspects

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	DCT	Wavelets
Computational	+ (low)	+ (low)
Memory	+ (8x8 blocks)	-- (complete frame)
Perceptual quality	-- (blocking)	+ (smoothness)

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## Wavelets / Concluding remarks

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### \* Only an introduction

- to the mathematical background & reasons of wavelets and its processing techniques
- on intraframe coding, more in JPEG2000
- on interframe wavelet compression issues
  - much recent work in this field
- on signal analysis, e.g. for noise reduction
  - often its quality rivals traditional methods

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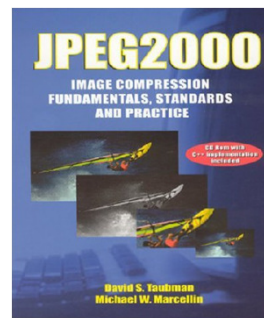
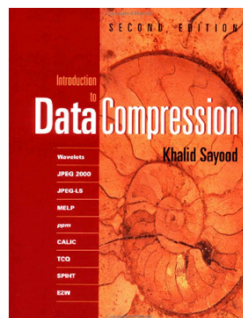
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## References & Recommended reading

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...and the world wide web

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