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## Introduction to Medical Image Processing (5XSA0), Module 01


### Motivation, Image Fundamentals and Signal Transformations

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slides version 1.0




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## Set up of this course

- \* **Lectures and instructions**
  - 2 x 4 hours / week: 4 hours of teaching + 4 hours instruction
  - Experimenting with algorithms and techniques is essential!
- \* **Study Material**
  - Slides lectures & instructions
  - Teaching Prof.dr.ir. Peter H.N. de With & Dr. Sveta Zinger
  - Background book: Digital Image Processing / Gonzales & Woods, Pearson Prentice Hall, 3<sup>rd</sup> Edition, 2008
  - Contact by email: [p.h.n.de.with@tue.nl](mailto:p.h.n.de.with@tue.nl) / [s.zinger@tue.nl](mailto:s.zinger@tue.nl)
- \* **Examn**
  - Written 1<sup>st</sup> iteration, and oral afterwards for failures

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## Overview Module 1

- \* **Motivation for this course**
  - Applications of medical imaging
  - Power of advanced image processing
- \* **Image Fundamentals**
  - Sampling
  - Data sampling
- \* **Signal and Intensity Transformations**
  - Intensity functions
  - Signal Transformation

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## Module 01 – Part 1 Motivation for this course

### Applications of Medical Imaging and Advanced Image Processing

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## Motivation: On the relevance of Medical Image Processing – (1)

- \* **Background development**
  - Applications of medical imaging have become very advanced
  - Initially, medical imaging started with **looking into the body**
  - At present, it also **supports the medical diagnosis**, e.g. cardio vascular diseases, brain tumor growth, colon cancer detection
  - In the near future, medical imaging will show **advanced image models**, like the Virtual Physical Human (VPH, H2020 project)
- \* **Three principal medical imaging methods**
  - **X-ray systems**, as used in e.g. cardio interventions
  - **Ultrasound Imaging**, as used e.g. for perinatology (baby growth)

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## Motivation: On the relevance of Medical Image Processing – (2)

- \* **Three principal medical imaging methods**
  - **Magnetic Resonance Imaging**, as used e.g. in brain observation
- \* **Examples of Medical Imaging for various purposes**
  - Cardio Vascular Intervention with X-ray imaging
  - Anesthetic intervention with Ultrasound (US) imaging
  - Colon cancer detection with visual endoscopy imaging
  - Heart revalidation training with visual home care imaging

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## Needle interventions


- \* In various medical procedures, **needles** are used for:
  - Taking tissue samples: *biopsy (e.g. breast, liver, prostate)*
  - Delivering anesthetic medicine: *regional anesthesia (nerve block)*
  - Delivering electric energy: *radiofrequency ablations*
  - Placing radioactive sources into a tumor: *prostate brachytherapy*
- \* **Visualization of needle and its tip is important to improve health outcomes and minimize risks:**
  - For example in "blind" regional anesthesia
    - Large amounts of anesthetic medicine are required
    - Unintended vascular punctures
    - Intraneural Injections and Nerve Injury

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## Image-guided interventions

- \* **Medical imaging modalities are used to visualize needle with respect to other structures inside the patient's body.**
- \* **Popular modalities for intervention guidance are:**
  - Magnetic Resonance Imaging (MRI)
    - Expensive, requires MR-compatible equipment and needles
  - X-ray Computed Tomography (CT)
    - Ionizing radiations, large setup
  - Ultrasound
    - Portable and Inexpensive
    - Provides real-time images
    - Acoustic (non-ionizing) waves




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## Example 1: Image-guided X-ray interv.

### 3D catheter reconstruction

Reconstruction of curvilinear objects in minimally invasive interventions using multi-view X-ray



Cooperation with: **PHILIPS**

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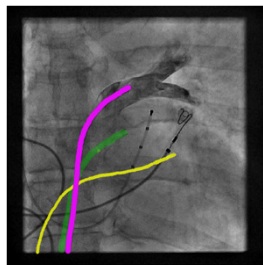
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## X-ray: 3D location of catheters (instruments)

- Start: Minimally-invasive interventions guided by X-ray fluoroscopy
- *Depth* is often an important cue in navigation

Goal:

- Estimate 3D location of surgical instruments (catheters, needles etc.)
- *Exploit Projective Geometry*
- *Develops to Quant. Imaging!*



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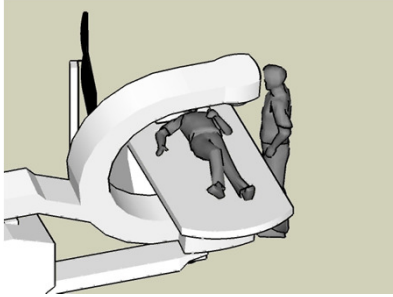
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## Multi-view X-ray imaging enabling 3D

- C-arm "wiggles" to make images from *multiple views*
- Multiple-view Geometry for 3D reconstruction of objects of interest




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## MV X-ray / Deforming catheter reconstruct.

- Look into catheters deforming during acquisition
- Even in Computer Vision this is a relatively new problem: **Non-Rigid Structure-from-Motion**
- Express 3D motion in a low dimensional manifold, solve using 2D data

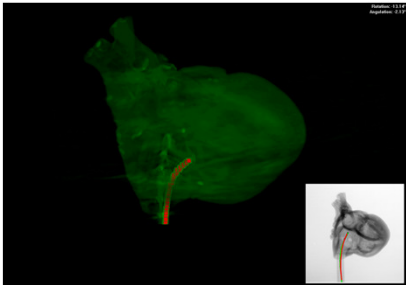


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## MV X-ray / Catheter reconstruct. 3D+t



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## Example 2: Ultrasound-guided interventions

\* Ultrasound-guided interventions with needles



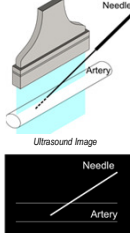
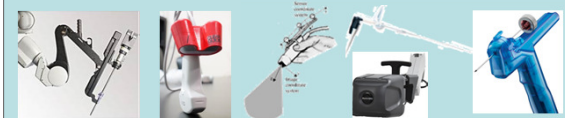

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## Motivation / Example 2 US - Challenges

- \* **Limited field of view in 2D ultrasound:**
  - Any motion may exclude parts of the needle from ultrasound field.
  - Considerable training is required to avoid wrong needle placement.
  - Extremely challenging, tense, lengthy procedure.
- \* **Existing tracking systems (electromagn., optical, robotics) require extra equipment, specific skills, add to the costs.**

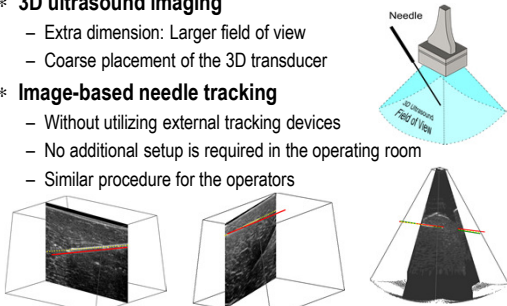

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## Example 2: Ultrasound Proposed System

- \* **3D ultrasound imaging**
  - Extra dimension: Larger field of view
  - Coarse placement of the 3D transducer
- \* **Image-based needle tracking**
  - Without utilizing external tracking devices
  - No additional setup is required in the operating room
  - Similar procedure for the operators



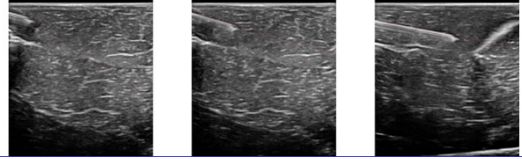

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## Motivation: Example 2: Ultrasound Image Processing Challenges

- \* Low signal to noise, e.g. spurious speckle noise occurs
- \* Various imaging artifacts (e.g. ringing, limited depth)
- \* Needle visibility decreases with the insertion angle
- \* During an intervention, only short parts of the needle are visible in initial frames





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## Motivation - Example 3: Esophageal cancer detection with visual imaging

- Risk factors: obesity, reflux, genetics
- Fastest rising type of cancer in the Western world
- 5<sup>th</sup> most prevalent cancer, 10-15% five-year survival rate
- 80% of patients are diagnosed in a late stadium
  - Esophagectomy – up to 10% die due to surgery complications
  - High morbidity – trouble with eating etc.




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### Example 3: Esophageal cancer / Objective 19

Create a **real-time computer aided detection system** which aids the physician to identify **early cancer in the esophagus**

#### Applications

Real-time detection:

- endoscopy examination and surgery

Off-line detection:

- training gastroenterologists
- quality assurance in existing databases



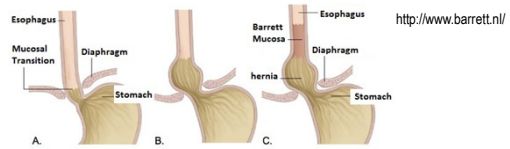
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### Example 3: Barrett's Esophagus 20

- If diaphragm is too wide, gastric acid escapes from stomach (reflux)
- Body's defense: metaplasia – formation of acid-resistant cells
- Can lead to dysplasia – abnormal maturation of cells – early stage cancer



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### Example 3: Endoscopic examination 21

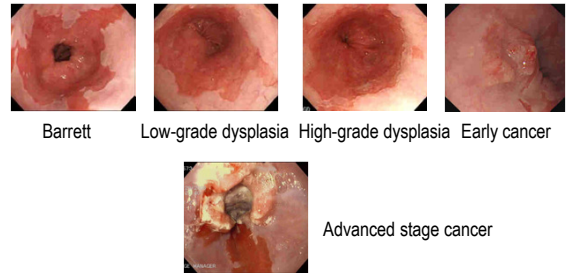


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### Ex.3: Esophagus cancer evolves gradually 22



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### Ex. 3: Is early detection clinically relevant? 23

#### Treatment

- Advanced stage cancer: removal of the entire esophagus
- Early stage cancer: local removal of cancerous tissue



#### Clinical relevance

- Early cancer - difficult to find endoscopically for non-experts
- Early detection is of key importance

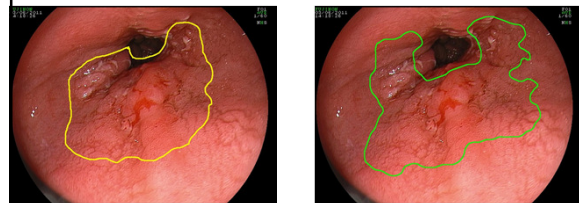


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### Example 3: Autom. Cancer detection 24



Expert's delineation

Automatic delineation

Clinical validation is ongoing, average accuracy is 93%



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## Motivation Example 4: Problem Descript. of Heart Revalidation Application

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### \* Project Goal:

Automatically identify and classify the cyclists' pose and movements based on the video images of a single camera.

### \* Requirements:

- Single camera
- Detect body parts, both side and frontal viewpoint
- Extract pose and movement parameters
- Markerless
- Invariant to human appearance
- Fully automatic



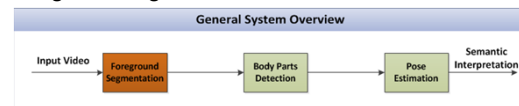
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## Motivation Example 4 / Heart Revalidation App. – Methodology – (1) Foreground Segmentation

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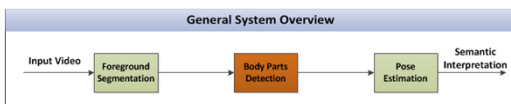
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## Motivation Example 4 / Heart Revalidation App. – Methodology – (2)

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### Body Parts Detection

- Skin Detection
- Distance Transform
- Foot Tracking



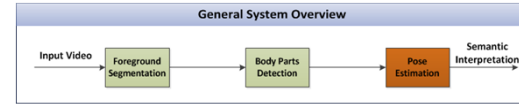
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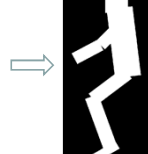


## Motivation Example 4 / Heart Revalidation App. – Methodology – (3)

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### Pose Estimation



Match graphical human model with foreground mask



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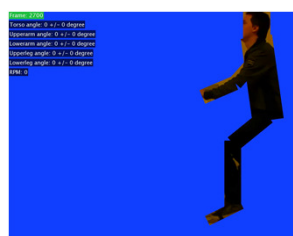
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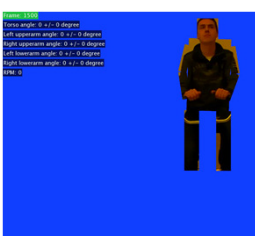
## Motivation Example 4 / Heart Revalidation App. – Sample Results

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### Side View



### Frontal View



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## Example conclusions

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### \* Motivation

- Examples show high relevance of video/image processing
- Advanced image/video analysis for diagnosis
- Real-time imaging for intervention

### \* Technical solutions are based on

- Digital Signal Processing, image enhancement
- Advanced Video/image Analysis, 3D processing
- Modeling of data constructs, classification of medical events

### \* Relevance: 40% of medical technology is imaging

- Video is the most informative signal (samples, speed, bandwidth)
- Broad range of video/image applications in the medical field



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## Module 01 – Part 2 Imaging and Image Processing Fundamentals

Sensing, image sampling, quantization,  
representation, scaling & mathematics

## Image sensing and acquisition

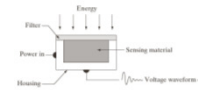
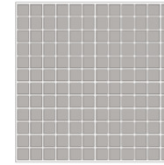


FIGURE 2.12  
(a) Single-element  
sensor, (b) Line sensor,  
(c) Array sensor.

Line sensor

Image sensor:

- array of elements
- in picture/video cameras (CCD),
- X-ray equipment



Sensor is a converter  
from photoelectronic  
converter or radiation  
converter to electrical  
signal (key parameter  
is resolution)

## Image formation model – (1)

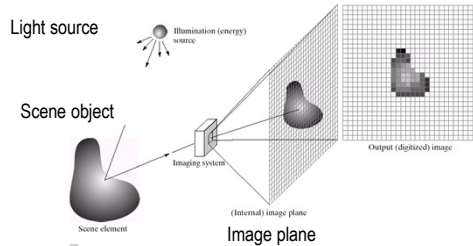


FIGURE 2.15 An example of the digital image acquisition process. (a) Energy ("illumination") source. (b) An element of a scene. (c) Imaging system. (d) Projection of the scene onto the image plane. (e) Digitized image.

## Image formation model – (2)

Images are a 2-D function of the  $f(x, y)$

\* Value is a positive scalar function at  $(x, y)$

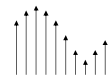
- E.g.  $0 < f(x, y) < \infty$
- Function  $f$  may be characterized by two components, the amount of illumination and reflection, thus  $f(x, y) = i(x, y) r(x, y)$
- Where  $0 < i(x, y) < \infty$  and  $0 < r(x, y) < 1$  (reflectance between total absorption and full reflectance)

## Image sampling and quantization – (1)

- **Samples:** the time-discrete values of an electrical signal after A/D conversion
- **Pixels:** the full-color elements of a video picture or still image, e.g. RGB triplets
- **Line:** one row of pixels or samples from a (video) image
- **Image/Frame:** an individual image array of samples (can be from a sequence)
- Images can be *continuous* or *digitized*

## Image sampling and quantization – (2)

- Digitization or discretization is a process implemented in two ways:
  - **Sampling:** the time-discrete values of function  $f(t)$ , giving  $f(i)$  or  $f(i, j)$ , leading to image samples
  - **Quantization:** the amplitude-discrete output values of function  $f(t)$  giving  $f_d(t)$



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### Image sampling and quantization – (3)

**FIGURE 2.16** Generating a digital image. (a) Continuous image. (b) A scan line from A to B in the continuous image, used to illustrate the concepts of sampling and quantization. (c) Sampling and quantization. (d) Digital scan line.

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### Image sampling and quantization – (4)

**FIGURE 2.17** (a) Continuous image projected onto a sensor array. (b) Result of image sampling and quantization.

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### Example / Video sampling in TV: Video generation – Pixels & video scanning

Note the differences between samples, pixels, lines, image and display!

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### Definitions & parameters of video signal

**Characterization of a video signal**

- \* 1. **Spatial Resolution** (the intrinsic sharpness...)
  - SDTV 720 x 576 (PAL in EU), 720 x 480 (NTSC (USA & JP)
  - HDTV: 1920 x 1080 (“full HD”), 1920 x 1080, 1344 x 720 (“HD ready”), etc.
- \* 2. **Temporal resolution** (frames rate)
  - EU: 25 Hz, USA: 30 Hz
- \* 3. Video **line scanning** process
  - **Progressive**: 1:1, each next line comes down the previous,
  - **Interlaced**: 2:1, one field with odd lines, and one field with even lines with a temporal difference

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### Progressive vs. interlaced video

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### Representing digital images – (1)

- Assume  $f(s, t)$  as a continuous image and let it be sampled and quantized into a 2-D array  $f(x, y)$ 
  - **Sampling**:  $f(x, y)$  has  $M$  rows and  $N$  columns
  - $x = 0, 1, 2, \dots, M-1$  and  $y = 0, 1, 2, \dots, N-1$
  - the value  $f(0, 0)$  is at the origin
  - **Spatial domain**: the section of the real plane spanned by the coordinates of the image
  - $x$  and  $y$  are the spatial variables/coordinates

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### Representing digital images – (2)

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**FIGURE 2.18**  
 (a) Image plotted as a surface.  
 (b) Image displayed as a visual intensity array.  
 (c) Image shown as a 2-D numerical array (0, 5, and 1 represent black, gray, and white, respectively).

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### Representing digital images – (3)

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It is common to represent a digital image as a numerical array

$$f(x, y) = \begin{bmatrix} f(0,0) & f(0,1) & \dots & f(0, N-1) \\ f(1,0) & f(1,1) & \dots & f(1, N-1) \\ \dots & \dots & \dots & \dots \\ f(M-1,0) & f(M-1,1) & \dots & f(M-1, N-1) \end{bmatrix}$$

Remarks

- Mind the notation of rows and columns!
- Alternatively, notation with subscripts is sometimes used

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### Representing digital images – (4)

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#### Digitization in amplitudes

- typically, the number of levels is set to a power of 2,  $L = 2^k$
- so the amplitudes or intensity are in  $[0, L-1]$
- This interval  $[0, L-1]$  is called **dynamic range**.
  - In practice, the upper level is called **saturation** and the lower level is limited to the **noise** level.
- **Contrast** is defined as the difference in intensity between the highest and the lowest level.
- The number of bits for the total image is now

$$b = M \times N \times k$$

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### Representing digital images – (5)

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**FIGURE 2.19** An image exhibiting saturation and noise. Saturation is the highest value beyond which all intensity levels are clipped (note how the entire saturated area has a high, constant intensity level). Noise in this case appears as a grainy texture pattern. Noise, especially in the darker regions of an image (e.g., the stem of the rose) masks the lowest detectable true intensity level.

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### Representing digital images – (6)

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The bit costs for SD, HD etc images are significant, and in the medical case, bits/sample are between 8 – 14 bits!

**TABLE 2.1**  
 Number of storage bits for various values of  $N$  and  $k$ .

$N/k$	1 ( $L = 2$ )	2 ( $L = 4$ )	3 ( $L = 8$ )	4 ( $L = 16$ )	5 ( $L = 32$ )	6 ( $L = 64$ )	7 ( $L = 128$ )	8 ( $L = 256$ )
32	1,024	2,048	3,072	4,096	5,120	6,144	7,168	8,192
64	4,096	8,192	12,288	16,384	20,480	24,576	28,672	32,768
128	16,384	32,768	49,152	65,536	81,920	98,304	114,688	131,072
256	65,536	131,072	196,608	262,144	327,680	393,216	458,752	524,288
512	262,144	524,288	786,432	1,048,576	1,310,720	1,572,864	1,835,008	2,097,152
1024	1,048,576	2,097,152	3,145,728	4,194,304	5,242,880	6,291,456	7,340,032	8,388,608
2048	4,194,304	8,388,608	12,582,912	16,777,216	20,971,520	25,165,824	29,360,128	33,554,432
4096	16,777,216	33,554,432	50,331,648	67,108,864	83,886,080	100,663,296	117,440,512	134,217,728
8192	67,108,864	134,217,728	201,326,592	268,435,456	335,544,320	402,653,184	469,762,048	536,870,912

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### Spatial and intensity resolution – (1)

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#### Spatial resolution

- can be expressed in several ways
  - line pairs per unit distance (black & white line pairs in  $W$ , then pair width is  $2W$ ,  $1/2W$  pairs/unit dist)
  - dots per unit distance (printing dots per inch dpi)
  - typically in the number of samples  $N \times M$

#### Intensity resolution

- typically expressed in the number of bits / sample  $k$
- Remember that  $b = M \times N \times k$

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## Spatial and intensity resolution – (2)

Variation of intensity resolution in a CT image

**FIGURE 2.21**  
(a) 452 × 374, 256-level image.  
(b)–(d) Image displayed in 16, 8, 4, and 2 gray levels, respectively, keeping the spatial resolution constant.

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## Spatial and intensity resolution – (3)

Variation of intensity resolution in a CT image giving false contouring

**FIGURE 2.21**  
(Continued)  
(e)–(h) Image displayed in 16, 8, 4, and 2 gray levels, respectively, keeping the spatial resolution constant.

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## Spatial and intensity resolution – (4)

Variation of spatial resolution can give aliasing artifacts

Upscaling is performed with interpolation

**FIGURE 2.24** (a) Image reduced to 72 dpi and zoomed back to its original size (300 × 202 pixels) using nearest neighbor interpolation. This figure is the same as Fig. 2.20(d). (b) Image shrunk and zoomed using bilinear interpolation. (c) Same as (b) but using bicubic interpolation. (d)–(f) Same sequence, but shrinking down to 150 dpi (instead of 72 dpi [Fig. 2.24(d)] is the same as Fig. 2.20(c)). Compare Figs. 2.23(c) and (f), especially the latter, with the original image in Fig. 2.20(a).

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## Spatial resolution / interpolation – (1)

**Spatial resolution: upconversion / interpolation**

- interpolation is used after shrinking the image and then converting it back to the original size
- Interpolation is using the known data to estimate values at unknown locations
  - example: interpolate 500x500 image to 750x750. Imagine zooming the image and then shrinking it to the original size.
- **Nearest neighbor interpolation:** assign the intensity of the nearest neighbor from the original to the unknown location
- **Bilinear interpolation:** use the intensity of the 4 nearest neighbors to compute the unknown location:
  - $v(x, y) = ax + by + cx + d$

•Note: a,b,c,d are coefficients from the 4-fold equation system

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## Spatial resolution / interpolation – (2)

**Interpolation techniques**

- **Bicubic interpolation:** computation based on the 16 nearest neighbors of a sample point, using the relation
 
$$v(x, y) = \sum_{i=0}^3 \sum_{j=0}^3 a_{ij} x^i y^j$$
  - where a 16-fold equation system has to be solved for coeff.  $a_{ij}$ .
  - HV Neighbors of a sample:  $(x-I, y), (x+I, y), (x, y-I), (x, y+I)$

Perceptive quality differences

- Pixel substitution generates aliasing effects on edges
- Bicubic preserves better detail than bilinear interpolation
- Bilinear interpolation is not a linear function!

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## Array and matrix operations – (1)

**Array product**

- **Array product** relates to the product of two sub-images
 
$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} a_{11}b_{11} & a_{12}b_{12} \\ a_{21}b_{21} & a_{22}b_{22} \end{bmatrix}$$
  - Compare this to the matrix product! (Having the extra terms due to row-column expansion of the product)

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## Linear operations – (1)

**Linear operator**

- A general operator  $H$  producing an output  $g(x, y)$  for input image  $f(x, y)$  satisfying  $H[f(x, y)] = g(x, y)$  is said to be a linear operator if
 
$$H[a_i f_i(x, y) + a_j f_j(x, y)] = a_i H[f_i(x, y)] + a_j H[f_j(x, y)]$$
- The first underlying property is called **additivity**, saying that the output of the operator on two sums is equal to the sum of the individual operations
- A second property is of **homogeneity**, which says that
 
$$H[a_i f_i(x, y)] = a_i H[f_i(x, y)]$$

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## Non-Linear operations

**Max is a non-linear operator**

- Verify and prove that the non-linear operator *max* applied in
 
$$H[a_i f_i(x, y) + a_j f_j(x, y)] = a_i H[f_i(x, y)] + a_j H[f_j(x, y)]$$
 with
 
$$f_1(x, y) = \begin{bmatrix} 0 & 2 \\ 2 & 3 \end{bmatrix}, f_2(x, y) = \begin{bmatrix} 6 & 5 \\ 4 & 7 \end{bmatrix}, a_1 = 1, a_2 = -1$$
 gives at the left side -2 and at the right-hand side -4.

**Both linear and non-linear operators are used in image processing!**

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## Arithmetic operations – (1)

**Arithmetic operations between images are array operations, meaning that the arithmetic operations are applied to the corresponding pixel pairs.**

- the four arithmetic operations are
 
$$s(x, y) = f(x, y) + g(x, y)$$


$$d(x, y) = f(x, y) - g(x, y)$$

$$p(x, y) = f(x, y) \times g(x, y)$$

$$v(x, y) = f(x, y) \div g(x, y)$$

Where  $f$  and  $g$  are images of  $M$  rows and  $N$  columns, and the resulting images also. (example:  $s$  is an image signal with noise added)

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## Arithmetic operations – (2) - Examples

**Arithmetic operations: DSA subtraction between images**

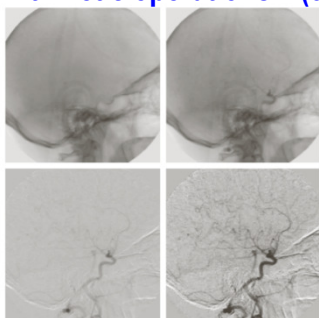
- In **Digital Subtraction Angiography**, images are subtracted before and after injecting an X-ray contrast medium in the blood vessels of the same patient body area.
 
$$d(x, y) = f(x, y) - g(x, y)$$
- Image segmentation**. Here a common technique is to subtract the static background without objects from the usual scene with typical moving foreground objects in it. The subtraction gives the foreground objects, that can be further filtered and clustered for clarity.

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## Arithmetic operations – (3) - DSA



**FIGURE 2.28**  
Digital subtraction angiography. (a) Mask image. (b) A live image. (c) Difference between (a) and (b). (d) Enhanced difference image. (Figures (a) and (b) courtesy of The Image Sciences Institute, University Medical Center, Utrecht, The Netherlands.)

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## Vector and Matrix operations – (1)

- Samples (R,G,B) can be mapped into 3-D vectors (think on image...)
 
$$\mathbf{s}(x, y) = [s_1(x, y), s_2(x, y), s_3(x, y)]^T$$
- In vector spaces, we can define distances. The well-known one is the **Euclidean distance  $D$** , between a pixel vector  $\mathbf{z}$  and point  $\mathbf{a}$  in an  $n$ -dimensional space is (mind vector notation)
 
$$D(\mathbf{z}, \mathbf{a}) = \sqrt{[(\mathbf{z} - \mathbf{a})^T (\mathbf{z} - \mathbf{a})]}$$

$$= \sqrt{(z_1 - a_1)^2 + (z_2 - a_2)^2 + \dots + (z_n - a_n)^2}$$
- This is also referred to as the vector norm  $\|\mathbf{z} - \mathbf{a}\|$
- Vectors can be transformed with **linear transformations**,  $\mathbf{w} = \mathbf{A}(\mathbf{z} - \mathbf{a})$  or  $\mathbf{g} = \mathbf{H}\mathbf{f} + \mathbf{n}$ , which vectors of dimensions  $M \times N \times 1$  and  $\mathbf{H}$  a linear process

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## Transform operations – (1)


- Operate on the image not in the sample but in the **transform domain**, hence perform a **transformation**. Assume linear 2-D transform  $T(u, v)$

$$T(u, v) = \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) r(x, y, u, v)$$

- Where  $f(x, y)$  is the input, and  $r(x, y, u, v)$  the **forward transformation kernel** for  $u = 0, 1, 2, \dots, M-1$  and  $v = 0, 1, 2, \dots, N-1$ .
- Likewise, there is a **backward transformation**


$$f(x, y) = \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} T(u, v) s(x, y, u, v)$$

- where  $s(x, y, u, v)$  is the **backward or inverse transformation kernel**.



**FIGURE 2.39**  
General approach for operating in the linear transform domain.

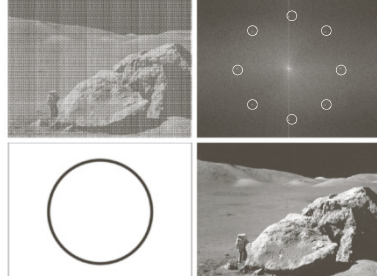
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## Transform operations – (2)

Example: circular filtering in Fourier domain to improve quality



**FIGURE 2.40**  
(a) Image corrupted by sinusoidal interference. (b) Magnitude of the Fourier transform showing the bursts of energy responsible for the interference. (c) Mask used to eliminate the energy bursts. (d) Result of computing the inverse of the modified Fourier transform. (Original image courtesy of NASA.)

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## Transform operations – (3)

- A transformation is said to be **separable**, if the input variable  $x$  is coupled to output  $u$  and  $y$  to  $v$  only, so the dimensions can be separated in the transformation

$$r(x, y, u, v) = r_1(x, u) r_2(y, v)$$


- In a **symmetric** transform  $r_1(x, u) = r_2(y, v)$
- Mostly, transformations are generally separable, but not symmetric!

- Example of separable transform DFT (specify the complete transform)

$$r(x, y, u, v) = e^{-j2\pi(xu/M + yv/N)}$$

$$s(x, y, u, v) = \frac{1}{MN} e^{+j2\pi(xu/M + yv/N)}$$


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## Module 01 – Part 3

### Intensity transformation and filtering

#### Filtering basics, Log transform, histograms, spatial filtering and types

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## Basics of filtering and intensity – (1)

- A standard filtering action is performed in sample (spatial) domain
- Spatial processing is typically less complex in computations and require less resources
- The choice for spatial or transform domain processing depends on (a) the problem and (b) allowed costs.
- Spatial domain processing applies to

$$g(x, y) = T[f(x, y)]$$

- Operator  $T$  works on input  $f$  and has a neighborhood of point  $(x, y)$ .
- Examples: pixel-by-pixel sum over set of images for noise reduction

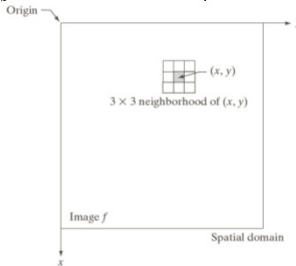
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## Basics of filtering and intensity – (2)

- A standard filtering technique looks as follows: apply processing with neighborhood and move central pixel to the right (pixel-by-pixel proc.)



**FIGURE 3.1**  
A  $3 \times 3$  neighborhood about a point  $(x, y)$  in an image in the spatial domain. The neighborhood is moved from pixel to pixel in the image to generate an output image.

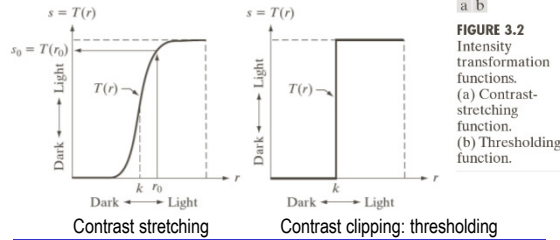
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## Basics of filtering and intensity – (3)

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- The smallest neighborhood is 1x1 pixel: the pixel itself only.
- Applying a function  $T[f(x, y)]$  means transforming each pixel with that function. This gives a new pixel  $g(x, y)$ , again pixel-by-pixel proc.



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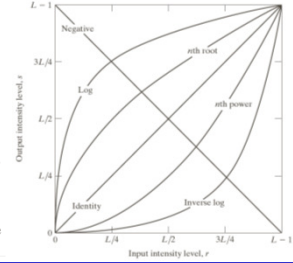


## Intensity transformations – (1) / Negative

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- Applying a function  $s = T[r]$  transforms a pixel into another value.
- Example 1: **image negative**, apply function  $s = L - 1 - r$  to range  $[0, L - 1]$

- Example 2: log function, apply the function  $s = c \log(1+r)$  with  $r \geq 0$ . This function 'spreads' intensities, compresses the dynamic range



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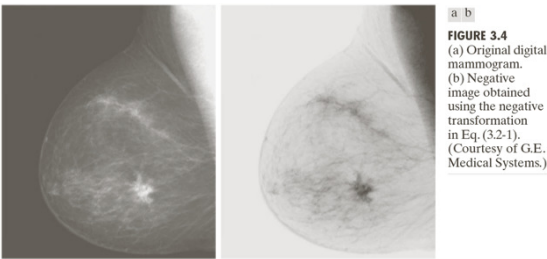
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## Intensity transformations – (2) / Negative

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Example illustration of the image negative function, a mammogram.



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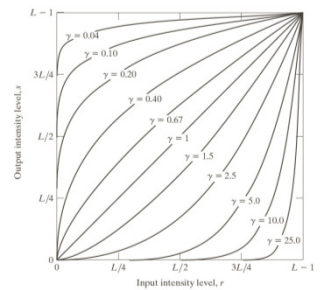
## Intensity transformations – (3) / Gamma

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Power-law (Gamma) transformations, function  $s = c r^\gamma$ , with  $c, \gamma > 0$

- Functions with  $\gamma > 1$  and  $\gamma < 1$  have the opposite effect!

- The exponent is the gamma and the function is called gamma function



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## Intensity transformations – (4) / Gamma

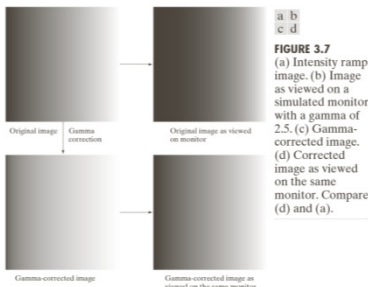
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Power-law (Gamma) transformations, function  $s = c r^\gamma$ , with  $c, \gamma > 0$

- Gamma correction: I-V function in CRT has  $\gamma = 2.2$  (take 2.5).

- If a picture is shown, it tends to be too dark.

- With gamma correction the inverse function is applied at capturing (camera) so that the picture is already pre-corrected.



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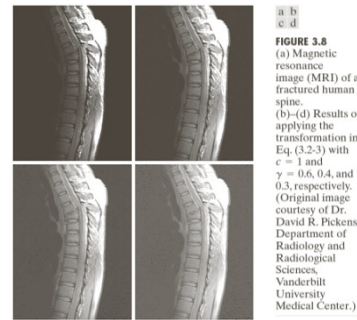
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## Intensity transformations – (5) / Gamma

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Gamma correction is useful in e.g. correcting MRI images, as is shown in visualizing an upper thoracic human spine.



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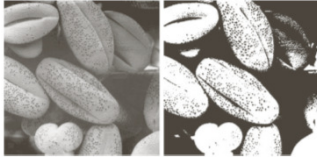
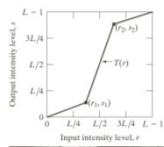
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## Intensity transformations – (6) / Contrast

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**FIGURE 3.10** Contrast stretching. (a) Form of transformation function. (b) A low-contrast image. (c) Result of contrast stretching. (d) Result of thresholding. (Original image courtesy of Dr. Roger Heady, Research School of Biological Sciences, Australian National University, Canberra, Australia.)

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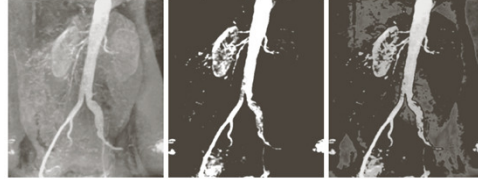
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## Intensity transform's – (7) / Bit slicing

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With bit slicing, we select a subset of the bit layers or a specific area of the bit gray scale (e.g. here lift up gray scale to white).



**FIGURE 3.12** (a) Aortic angiogram. (b) Result of using a slicing transformation of the type illustrated in Fig. 3.11(a), with the range of intensities of interest selected in the upper end of the gray scale. (c) Result of using the transformation in Fig. 3.11(b), with the selected area set to black, so that grays in the area of the blood vessels and kidneys were preserved. (Original image courtesy of Dr. Thomas R. Gest, University of Michigan Medical School.)

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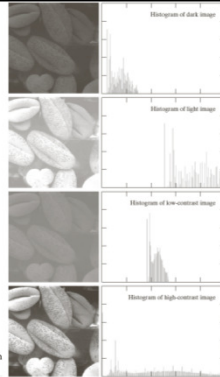
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## Histogram processing – (1)

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- Histogram of image is a discrete function  $h(r_k) = n_k$ , where  $n_k$  is the number of intensity levels equal to  $r_k$ .
- Common is to normalize of the number of image samples  $MN$ .
- Then the normalized histogram becomes  $p(r_k) = n_k / MN$ .
- Histogram functions are used many times.



**FIGURE 3.16** Four basic image types: dark, light, low contrast, high contrast, and their corresponding histograms.

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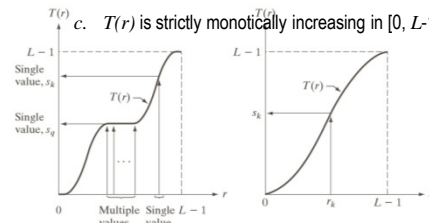


## Histogram processing – (2) / Equalization

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Consider images with (contin.) intensities  $r$  in  $[0, L-1]$  and notice mappings of the form  $s = T(r)$ , where

- $T(r)$  is monotonically increasing (no inversions)
- $s = T(r)$  is also in  $[0, L-1]$  (range preserved)



**FIGURE 3.17** (a) Monotonically increasing function, showing how multiple values can map to a single value. (b) Strictly monotonically increasing function. This is a one-to-one mapping, both ways.

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## Histogram processing – (3) / Equalization

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Consider images with (contin.) intensities  $r$  in  $[0, L-1]$  and note that the intensity levels may be random variables in the interval.

If the PDF of  $r$  is known and  $T(r)$  is a continuous differentiable function

- $p_s(s) = p_r(r) |dr/ds|$
- $s = T(r) = (L-1) \int_0^r p_r(w) dw$
- Right hand side is the cumulative PDF and we can verify that both previous slide conditions a) and b) hold
- Then  $\frac{ds}{dr} = \frac{dT(r)}{dr} = (L-1) \frac{d}{dr} \left[ \int_0^r p_r(w) dw \right] = (L-1) p_r(r)$
- Substituting this, gives  $p_s(s) = p_r(r) \left| \frac{ds}{dr} \right| = \frac{1}{L-1}$

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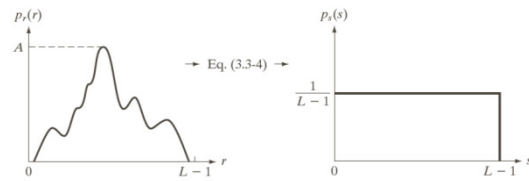
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## Histogram processing – (4) / Equalization

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**FIGURE 3.18** (a) An arbitrary PDF. (b) Result of applying the transformation in Eq. (3.3-4) to all intensity levels,  $r$ . The resulting intensities,  $s$ , have a uniform PDF, independently of the form of the PDF of the  $r$ 's.

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## Histogram processing – (5) / Equalization

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In the discrete case, integrals become summations and

- $p_r(r_k) = \frac{n_k}{MN}$  for  $k=0, 1, 2, \dots, L-1$
- plotting this probability for values of  $r_k$  gives a **histogram**
- Discrete integration over  $r$  gives

$$s_k = T(r_k) = (L-1) \sum_{j=0}^k p_r(r_j) = \frac{(L-1)}{MN} \sum_{j=0}^k n_j$$

- Thus mapping each intensity of the pixels to a new image  $s$  with this integration is called **histogram equalization**

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## Histogram – (6) / Equalization example

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In a 3-bit image ( $L=8$ ) of size  $64 \times 64 = 4096$  the original histogram is [ 0.19, 0.25, 0.21, 0.16, 0.08, 0.06, 0.03, 0.02 ]

- $p_r(r_k) = \frac{n_k}{MN}$  for  $k=0, 1, 2, \dots, L-1$
- Discrete integration over  $r$  gives

$$s_0 = T(r_0) = (8-1) \sum_{j=0}^0 p_r(r_j) = 7p_r(r_0) = 1.33$$

$$s_1 = T(r_1) = (8-1) \sum_{j=0}^1 p_r(r_j) = 7(p_r(r_0) + p_r(r_1)) = 3.08$$

- Then values of  $s$  may be rounded to their nearest integer and normalization is required

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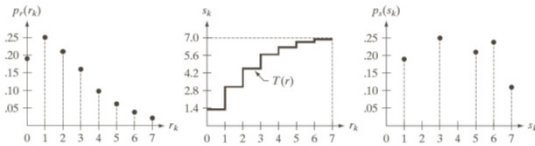
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## Histogram – (7) / Equalization example

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a b c

FIGURE 3.19 Illustration of histogram equalization of a 3-bit (8 intensity levels) image. (a) Original histogram. (b) Transformation function. (c) Equalized histogram.

- Histogram is an approximation to a PDF!
- Discrete histogram equalization does not yield a flat uniform distribution

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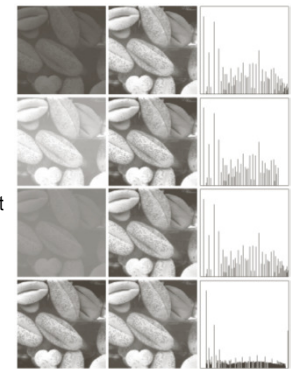


## Histogram – (8) / Equalization results

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Results with

- Too dark and low contrast
- Too bright and low contr.
- Low contrast and gray
- Similar contrast



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FIGURE 3.20 Left column: images from Fig. 3.16. Center column: corresponding histograms of equalized images. Right column: histograms of the images in the center column.

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## Spatial filtering – (1) / Basics

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Filtering is applied in (1) a **neighborhood** (typ. small rectangle) with (2) a **predefined operation**.

- If the operation is linear (multiply / add) it is **linear filtering**
- Assume a window or mask of  $m \times n$  with  $m$  and  $n$  typically odd hence  $m=2a+1$  and  $n=2b+1$ , then filtering is equal to

$$g(x, y) = \sum_{s=-a}^{+a} \sum_{t=-b}^{+b} w(s, t) f(x+s, y+t)$$

- Each pixel in the image is addressed with the mask, so  $x$  and  $y$  are varied. This called also **convolution** of  $w$  and  $f$ .

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## Spatial filtering – (2) / Basics

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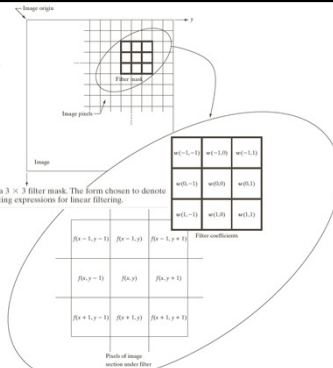


FIGURE 3.28 The mechanics of linear spatial filtering using a  $3 \times 3$  filter mask. The form chosen to denote the coordinates of the filter mask coefficients simplifies writing expressions for linear filtering.

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## Spatial filtering – (3) / Basics

Numerical illustration of convolution and correlation

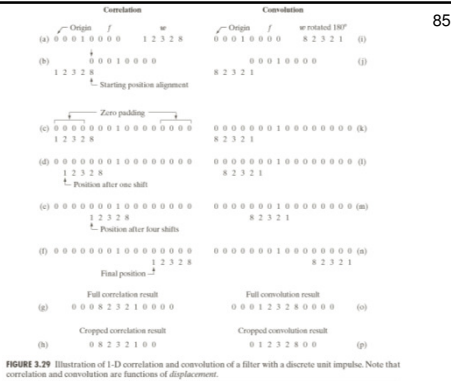


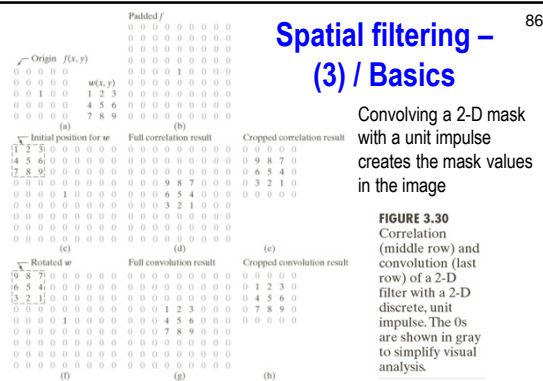
FIGURE 3.29 Illustration of 1-D correlation and convolution of a filter with a discrete unit impulse. Note that correlation and convolution are functions of displacement.

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## Spatial filtering – (3) / Basics

Convoluting a 2-D mask with a unit impulse creates the mask values in the image

FIGURE 3.30 Correlation (middle row) and convolution (last row) of a 2-D filter with a 2-D discrete, unit impulse. The Os are shown in gray to simplify visual analysis.



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## Spatial filters – (4) / Vector representation

Filtering can be rewritten into a **vector form**

- Sum of products is equal to the inner product of two vectors
- This gives

$$R = w_1 z_1 + w_2 z_2 + \dots + w_m z_m =$$

$$R = \sum_{k=1}^m w_k z_k = \mathbf{w}^T \mathbf{z}$$

- Convolution: rotate masks with 180 degrees, for correlation, use the mask given

$w_1$	$w_2$	$w_3$
$w_4$	$w_5$	$w_6$
$w_7$	$w_8$	$w_9$

FIGURE 3.31 Another representation of a general  $3 \times 3$  filter mask.

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## Spatial filters – (5) / Smoothing filters

- Smoothing filters are **low-pass filters**, and take away details
- Sometimes also called averaging filters (see example below)
- First example is called a box filter
- Second example is a **weighted average**

$$\frac{1}{9} \times \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \quad \frac{1}{16} \times \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$

FIGURE 3.32 Two  $3 \times 3$  smoothing (averaging) filter masks. The constant multiplier in front of each mask is equal to 1 divided by the sum of the values of its coefficients, as is required to compute an average.

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## Spatial filters – (6) / Smoothing filters

FIGURE 3.33 (a) Original image, of size  $500 \times 500$  pixels. (b)–(f) Results of smoothing with square averaging filter masks of sizes  $m = 3, 5, 9, 15,$  and  $35$ , respectively. The black squares at the top are of sizes  $3, 5, 9, 15, 25, 35, 45,$  and  $55$  pixels, respectively; their borders are 25 pixels apart. The letters at the bottom range in size from 10 to 24 points, in increments of 2 points; the large letter at the top is 60 points. The vertical bars are 5 pixels wide and 100 pixels high; their separation is 20 pixels. The diameter of the circles is 25 pixels, and their borders are 15 pixels apart; their intensity levels range from 0% to 100% black in increments of 20%. The background of the image is 10% black. The noisy rectangles are of size  $50 \times 120$  pixels.



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## Spatial filters – (7) / Sharpening filters

- Sharpening filters are often based on **derivatives of the signal**
- Taking **first derivatives** of a function must give that
  - (1) 1<sup>st</sup> derivative is zero when intensity is constant
  - (2) 1<sup>st</sup> derivative is non-zero at the transition of the signal or ramps
  - (3) 1<sup>st</sup> derivative is non-zero along signal ramps
- Similarly, the **second derivative** takes the following conditions
  - (1) 2<sup>nd</sup> derivative is zero in flat areas
  - (2) 2<sup>nd</sup> derivative is non-zero at the onset and end of a step
  - (3) 2<sup>nd</sup> derivative is zero along ramps and rises of fixed slope

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## Spatial filters – (8) / Sharpening filters

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- Sharpening filters are often based on **derivatives of the signal**
- Taking **first derivatives** of a function is performed with

$$\frac{\partial f}{\partial x} = f(x+1) - f(x)$$

- Similarly, the **second derivative** takes the following conditions

$$\frac{\partial^2 f}{\partial x^2} = f(x+1) + f(x-1) - 2f(x)$$

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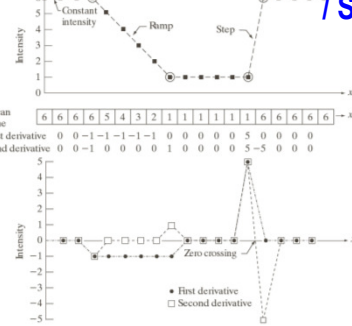
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## Spatial filters – (9) / Sharpening filters

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**FIGURE 3.36** Illustration of the first and second derivatives of a 1-D digital function representing a section of a horizontal intensity profile from an image. In (a) and (c) data points are joined by dashed lines as a visualization aid.

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## Spatial filters – (10) / Sharpening filters

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- Laplacian filters are based on the **2<sup>nd</sup> derivatives of the signal**
- Laplacian filters are also used in image segmentation
- Interest in isotropic filters: response is independent of the direction of the signal discontinuities to which the filter is applied; e.g. a simple form is

$$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

- which gives finally

$$\frac{\partial^2 f}{\partial x^2} = f(x+1, y) + f(x-1, y) - 2f(x, y), \text{ etc.}$$

$$\nabla^2 f(x, y) = f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1) - 4f(x, y)$$

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## Spatial filters – (11) / Sharpening filters

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0	1	0	1	1	1
1	-4	1	1	-8	1
0	1	0	1	1	1
0	-1	0	-1	-1	-1
-1	4	-1	-1	8	-1
0	-1	0	-1	-1	-1

a b  
c d

**FIGURE 3.37** (a) Filter mask used to implement Eq. (3.6-6). (b) Mask used to implement an extension of this equation that includes the diagonal terms. (c) and (d) Two other implementations of the Laplacian found frequently in practice.

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## Spatial filters – (12) / Sharpening filters

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Laplacian filters highlight discontinuities and edges and deemphasize slowly varying intensity areas



**FIGURE 3.38** (a) Blurred image of the North Pole of the moon. (b) Laplacian without scaling. (c) Laplacian with scaling. (d) Image sharpened using the mask in Fig. 3.37(a). (e) Result of using the mask in Fig. 3.37(b). (Original image courtesy of NASA.)

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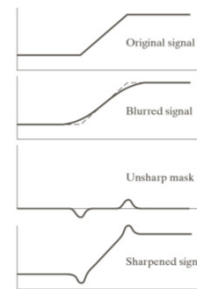


## Spatial filters – (13) / Sharpening filters

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With unsharp masking, the following steps are taken

1. Blur original image
2. Subtract the blurred image from original
3. Add the mask to the signal



**FIGURE 3.39** 1-D illustration of the mechanics of unsharp masking. (a) Original signal. (b) Blurred signal with original shown dashed for reference. (c) Unsharp mask. (d) Sharpened signal, obtained by adding (c) to (a).

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