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Enabling Technologies for Sports (5XSF0), Module 01

Motivation, Image Fundamentals and Signal Transformations

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slides version 1.0

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Set up of this course


- * **Lectures and instructions**
 - 2 x 4 hours / week: 4 hours of teaching + 4 hours instruction
 - Experimenting with algorithms and techniques is essential!
- * **Study Material**
 - Slides lectures & instructions /
 - Prof.dr.ir. Peter H.N. de With / Dr. Sveta Zinger
 - Background book: Digital Image Processing / Gonzales & Woods, Pearson Prentice Hall, 3rd Edition, 2008
 - Contact by email: p.h.n.de.with@tue.nl / s.zinger@tue.nl
 - Website: <http://vca.ele.tue.nl/courses/index.html>
- * **Examn:** Written, and oral afterwards for failures

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Overview Module 1


- * **Motivation for this course**
 - Applications of sensing and analysis
 - Power of signal and visual sensing
- * **Signal and 2D Image Fundamentals**
 - Sampling, Data sampling
 - Simple examples of analysis and importance, 1-D and 2-D
- * **Signal and Intensity Transformations**
 - Intensity functions, 1-D and 2-D processing
 - Signal Transformation

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Module 01 – Part 1 Motivation for this course

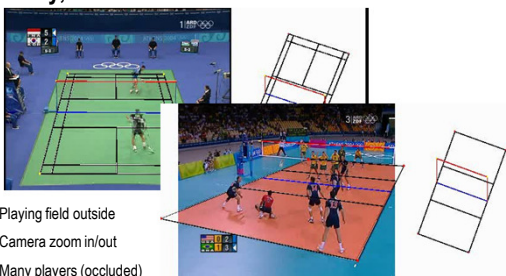
Applications of sensing in sports & power of visual imaging

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Application – (1) / Finding the playing field

- **Easy, in most cases but**





- Playing field outside
- Camera zoom in/out
- Many players (occluded)


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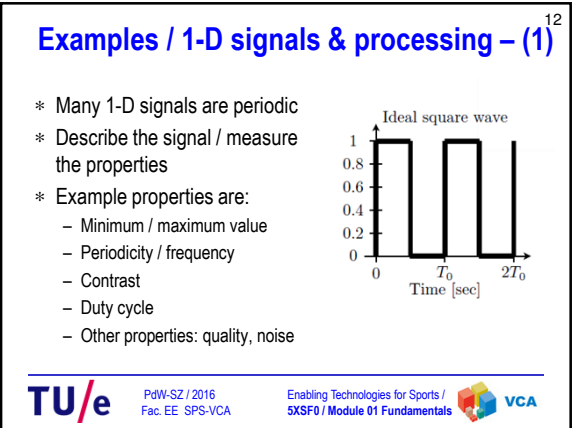
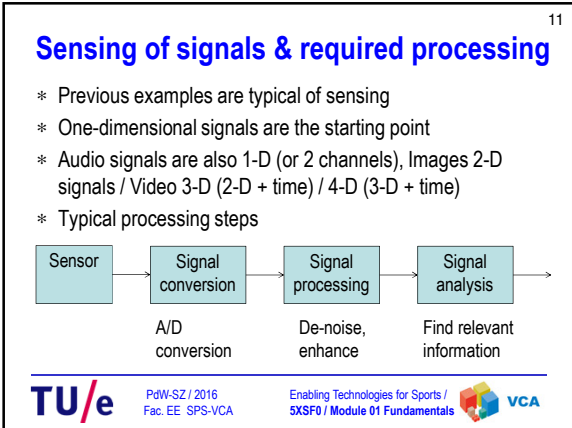
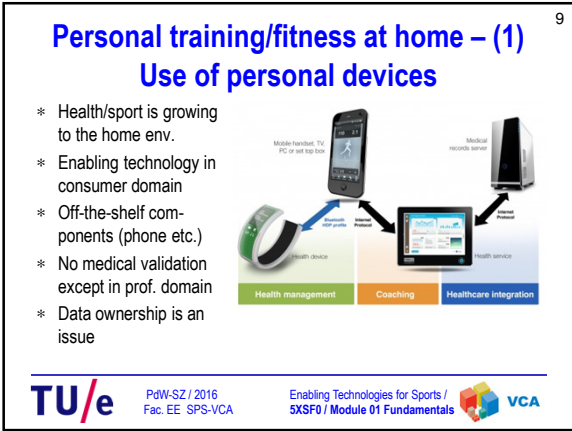
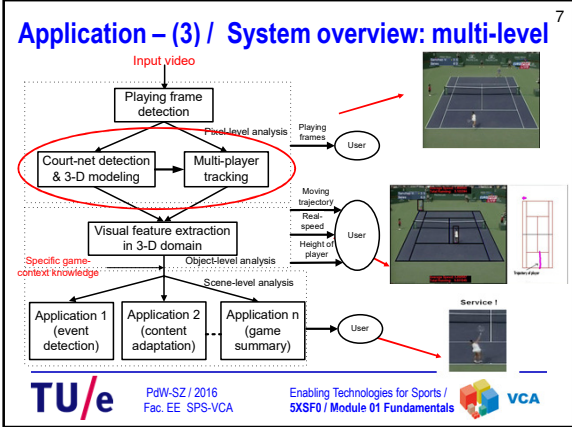
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Application – (2) / Semantic analysis

- **Application: semantic event detection**
 - Analyze single match and output important events, like "service" and "net-approach"
 - Analyze double match and output basic tactics like "both back"
 - 85%~90% accuracy

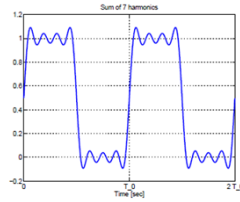



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Examples / 1-D signals & processing – (2) ¹³

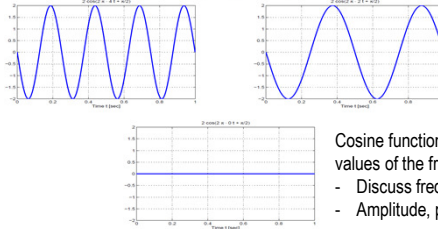
- * Signal in 1-D is also periodic
- * Difference with previous slide
- * Example properties are:
 - Non-ideal rise time
 - Harmonics in signal
 - Looks like noise superimposed
- * Enhancement may be needed
- * Selective filtering: remove harmonics
- * How to separate small/ large signals?



Examples / 1-D signals & processing – (3) ¹⁴

Amplitude $A = 2$, Phase $\phi = \pi/2$, Frequency f_0 varies $4 \rightarrow 0$ [Hz]

$$x(t) = 2 \cos(2\pi \cdot f_0 \cdot t + \pi/2)$$



Cosine function for several values of the frequency

- Discuss frequency f_0
- Amplitude, phase

Examples / 1-D signals & processing – (4) ¹⁵

What is the amplitude, frequency and phase of a sinusoid?
Mathematical formula continuous-time cosine:

$$x(t) = A \cos(\omega_0 \cdot t + \phi) = A \cos(2\pi \cdot f_0 \cdot t + \phi)$$

| Symbol | Name | Dimension |
|------------|--------------------|------------------------|
| A | Amplitude | - |
| ω_0 | Radian frequency | rad/sec |
| ϕ | phase | rad |
| f_0 | (cyclic) frequency | sec ⁻¹ = Hz |

Relation period - frequency:

$$x(t + T_0) = x(t)$$

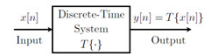
$$A \cos(\omega_0 \cdot (t + T_0) + \phi) = A \cos(\omega_0 \cdot t + \phi)$$

$$\omega_0 T_0 = 2\pi \Rightarrow T_0 = \frac{2\pi}{\omega_0} \text{ or } (2\pi f_0) T_0 = 2\pi \Rightarrow T_0 = \frac{1}{f_0}$$

1-D Signals & Filtering – (1) ¹⁶

A **filter** is a system designed to remove some components or modify some characteristics of a signal.

Finite Impulse Response (FIR) filters: Each output sample is sum of finite number of weighted samples of input sequence



Both input and output are discrete-time samples (in contrast to e.g. D-to-C and C-to-D) → Operator $T\{\cdot\}$ described by formula

Examples:

$$y[n] = x^2[n]$$

$$y[n] = \max\{x[n], x[n-1], x[n-2]\}$$

1-D Signals & Filtering – (2) ¹⁷

Compute a **moving (running) average** of two or more consecutive samples, forming a new sequence of the average values

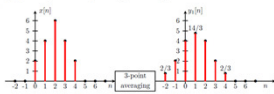
Note: FIR is generalization of running average

Averaging used when data fluctuates, thus smoothing prior interpretation (e.g. view trends). E.g. Stock-market prices, credit-card balances, etc.

Example:

Input-output relation (=difference equation) 3-point averaging

$$\rightarrow y_1[n] = \frac{1}{3} (x[n] + x[n+1] + x[n+2])$$



1-D Signals & Filtering – (3) ¹⁸

Difference equation general FIR: $y[n] = \sum_{k=0}^M b_k x[n-k]$

- Weighted running average of $M + 1$ samples
- Causal filter
- M is order of FIR filter
- $L = M + 1$ is length of FIR filter

Example: FIR of order $M = 3$ with coefficients $b_k = \{3, -1, 2, 1\}$



$$\rightarrow y[n] = 3 \cdot x[n] - 1 \cdot x[n-1] + 2 \cdot x[n-2] + 1 \cdot x[n-3]$$

| n | $n < 0$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | $n > 8$ |
|--------|---------|---|---|----|----|---|---|---|---|---|---------|
| $x[n]$ | | 0 | 2 | 4 | 6 | 4 | 2 | 0 | 0 | 0 | 0 |
| $y[n]$ | | 0 | 6 | 10 | 18 | ? | ? | 8 | 2 | 0 | 0 |


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1-D Signals & Applications – (1)

- * **Video Training Analysis**
Automatically estimate the cyclist's pose and movements based on the video from a single camera.
- * **Observation**
Feet motion follows sine wave with varying amplitude over time
- * **Solution**
Foreground segmentation
Body part detection, pose estimate
Goal -> Measure cycling speed





Frame: 174
 Torso angle: -8 +/- 1 degree
 Upperarm angle: 44 +/- 1 degree
 Lowerarm angle: 63 +/- 1 degree
 Upperleg angle: 69 +/- 24 degree
 Lowerleg angle: -28 +/- 20 degree
 RPM: 53



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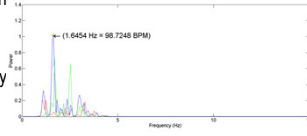
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1-D Signals & Applications – (2)


- * **Goal**
Automatic heart rate detection based on the video frames
- * **Sensing techniques**
Unobtrusive via video camera
Or use watch
- * **Solution**
Temporal color variation extraction on forehead
Bandpass filtering
Peak detection in frequency domain



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Reflection / Sports Technology Aspects – (1)

- * **Motivation**
 - Technology is often used in sports for measuring performance
 - Health conditions of sports athlete
- * **Technical solutions are based on**
 - Sensing: heart rate, ECG, Audio, Video
 - Digital Signal Processing: Video/Image, Audio, Other signals
 - Advanced Signal Analysis: Find heart rate, track the players, etc.
 - Modeling of events/data: Playing field, temporal behaviour, events



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Reflection / Sports Technology Aspects – (2)

- * **Generalizing on signals**
 - Learn general concept: video, audio, heart rate, ECG,... are signals!
 - Video is the most informative signal (samples, speed, bandwidth)
 - Many concepts in this course are re-applicable to other signals



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Module 01 – Part 2 Image Fundamentals

Image sampling, quantization,
representation, scaling & mathematics



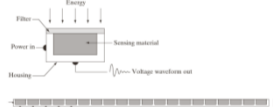
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Image sensing and acquisition




Line sensor

Image sensor:


- array of elements
- in picture/video cameras (CCD),
- X-ray equipment

Sensor is a converter from photoelectric converter or radiation converter to electrical signal (key parameter is resolution)



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Image formation model – (1)

Light source: intensity, color

Scene object, reflection, position, etc.

Imaging system

(Internal) image plane

Output (digitized) image

Digital image: sampling, color, accuracy

Image plane, focal setting, position

FIGURE 2.15 An example of the digital image acquisition process. (a) Energy (“illumination”) source. (b) An element of a scene. (c) Imaging system. (d) Projection of the scene onto the image plane. (e) Digitized image.

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Image formation model – (2)

Images are a 2-D function of the $f(x, y)$

- * Value is a positive scalar function at (x, y)
 - E.g. $0 < f(x, y) < \infty$
 - Function f may be characterized by two components, the amount of illumination and reflection, thus $f(x, y) = i(x, y) r(x, y)$
 - Where $0 < i(x, y) < \infty$ and $0 < r(x, y) < 1$ (reflectance between total absorption and full reflectance)

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Image sampling and quantization – (1)

- **Samples:** the time-discrete values of an electrical signal after A/D conversion
- **Pixels:** the full-color elements of a video picture or still image, e.g. RGB triplets
- **Line:** one row of pixels or samples from a (video) image
- **Image/Frame:** an individual image array of samples (can be from a sequence)
- Images can be *continuous* or *digitized*

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Image sampling and quantization – (2)

- Digitization or discretization is a process implemented in two ways:
 - **Sampling:** the time-discrete values of function $f(t)$, giving $f(i)$ or $f(i, j)$, leading to image samples
 - **Quantization:** the amplitude-discrete output values of function $f(t)$ giving $f_d(t)$

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Image sampling and quantization – (3)

FIGURE 2.16 Generating a digital image. (a) Continuous image. (b) A scan line from A to B in the continuous image, used to illustrate the concepts of sampling and quantization. (c) Sampling and quantization. (d) Digital scan line.

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Image sampling and quantization – (4)

FIGURE 2.17 (a) Continuous image projected onto a sensor array. (b) Result of image sampling and quantization.

Sampling gives blockiness!

Quantization gives level noise!

Sampling and Quantization introduce errors giving visual artifacts

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Example / Video sampling in TV: Video generation – Pixels & video scanning

Note the differences between samples, pixels, lines, image and display!

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Definitions & parameters of video signal

Characterization of a video signal

- * 1. **Spatial Resolution** (the intrinsic sharpness...)
 - SDTV 720 x 576 (PAL in EU), 720 x 480 (NTSC (USA & JP)
 - HDTV: 1920 x 1080 ("full HD"), 1920 x 1080, 1344 x 720 ("HD ready"), etc.
- * 2. **Temporal resolution** (frames rate)
 - EU: 25 Hz, USA: 30 Hz
- * 3. Video **line scanning** process
 - **Progressive**: 1:1, each next line comes down the previous,
 - **Interlaced**: 2:1, one field with odd lines, and one field with even lines with a temporal difference

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Progressive vs. interlaced video

Progressive sequence Interlaced sequence

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Representing digital images – (1)

- Assume $f(s, t)$ as a continuous image and let it be sampled and quantized into a 2-D array $f(x, y)$
 - **Sampling**: $f(x, y)$ has M rows and N columns
 - $x = 0, 1, 2, \dots, M-1$ and $y = 0, 1, 2, \dots, N-1$
 - the value $f(0, 0)$ is at the origin
 - **Spatial domain**: the section of the real plane spanned by the coordinates of the image
 - x and y are the spatial variables/coordinates

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Representing digital images – (2)

FIGURE 2.18
(a) Image plotted as a surface.
(b) Image displayed as a visual intensity array.
(c) Image shown as a 2-D numerical array (0, .5, and 1 represent black, gray, and white, respectively).

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Representing digital images – (3)

It is common to represent a digital image as a numerical array

$$f(x, y) = \begin{bmatrix} f(0,0) & f(0,1) & \dots & f(0, N-1) \\ f(1,0) & f(1,1) & \dots & f(1, N-1) \\ \dots & \dots & \dots & \dots \\ f(M-1,0) & f(M-1,1) & \dots & f(M-1, N-1) \end{bmatrix}$$

Remarks

- Mind the notation of rows and columns!
- Alternatively, notation with subscripts is sometimes used
- One line out of the array leads to a 1-D signal $f(x)$

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Representing digital images – (4)

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Digitization in amplitudes

- typically, the number of levels is set to a power of 2, $L = 2^k$
- so the amplitudes or intensity are in $[0, L-1]$
- This interval $[0, L-1]$ is called **dynamic range**.
 - In practice, the upper level is called **saturation** and the lower level is limited to the **noise** level.
- Contrast** is defined as the difference in intensity between the highest and the lowest level.
- The number of bits for the total image is now

$$b = M \times N \times k$$

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Representing digital images – (5)

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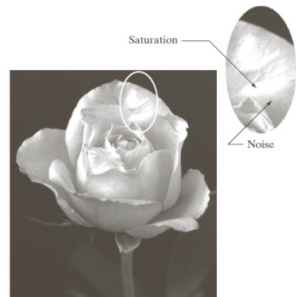



FIGURE 2.19 An image exhibiting saturation and noise. Saturation is the highest value beyond which all intensity levels are clipped (note how the entire saturated area has a high, constant intensity level). Noise, especially in the darker regions of an image (e.g., the stem of the rose) masks the lowest detectable true intensity level.

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Representing digital images – (6)

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The bit costs for SD, HD etc images are significant, and in the medical case, bits/sample are between $k = 8 - 14$ bits!

TABLE 2.1
Number of storage bits for various values of N and k .

| N/k | 1 ($L = 2$) | 2 ($L = 4$) | 3 ($L = 8$) | 4 ($L = 16$) | 5 ($L = 32$) | 6 ($L = 64$) | 7 ($L = 128$) | 8 ($L = 256$) |
|-------|---------------|---------------|---------------|----------------|----------------|----------------|-----------------|-----------------|
| 32 | 1,024 | 2,048 | 3,072 | 4,096 | 5,120 | 6,144 | 7,168 | 8,192 |
| 64 | 4,096 | 8,192 | 12,288 | 16,384 | 20,480 | 24,576 | 28,672 | 32,768 |
| 128 | 16,384 | 32,768 | 49,152 | 65,536 | 81,920 | 98,304 | 114,688 | 131,072 |
| 256 | 65,536 | 131,072 | 196,608 | 262,144 | 327,680 | 393,216 | 458,752 | 524,288 |
| 512 | 262,144 | 524,288 | 786,432 | 1,048,576 | 1,310,720 | 1,572,864 | 1,835,008 | 2,097,152 |
| 1024 | 1,048,576 | 2,097,152 | 3,145,728 | 4,194,304 | 5,242,880 | 6,291,456 | 7,340,032 | 8,388,608 |
| 2048 | 4,194,304 | 8,388,608 | 12,582,912 | 16,777,216 | 20,971,520 | 25,165,824 | 29,360,128 | 33,554,432 |
| 4096 | 16,777,216 | 33,554,432 | 50,331,648 | 67,108,864 | 83,886,080 | 100,663,296 | 117,440,512 | 134,217,728 |
| 8192 | 67,108,864 | 134,217,728 | 201,326,592 | 268,435,456 | 335,544,320 | 402,653,184 | 469,762,048 | 536,870,912 |

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Spatial and intensity resolution – (1)


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Spatial resolution

- can be expressed in several ways
 - line pairs per unit distance (black & white line pairs in W , then pair width is $2W$, $1/2W$ pairs/unit dist)
 - dots per unit distance (printing dots per inch dpi)
 - typically in the number of samples $N \times M$

Intensity resolution

- typically expressed in the number of bits / sample k
- Remember that $b = M \times N \times k$

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Spatial and intensity resolution – (2)

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Variation of intensity resolution in a CT image

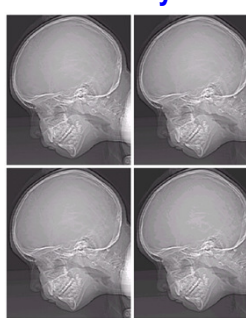



FIGURE 2.21 (Continued) 256-level image displayed in 16, 8, 4, and 2 gray levels of original contrast of Dr. David E. Pickers, Department of Radiology & Radiological Sciences, Vanderbilt University Medical Center.

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Spatial and intensity resolution – (3)

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Variation of intensity resolution in a CT image giving false contouring

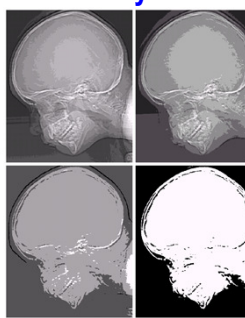



FIGURE 2.21 (Continued) 256-level image displayed in 16, 8, 4, and 2 gray levels of original contrast of Dr. David E. Pickers, Department of Radiology & Radiological Sciences, Vanderbilt University Medical Center.

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Spatial and intensity resolution – (4)

Variation of spatial resolution can give aliasing artifacts

Upscaling is performed with interpolation

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FIGURE 2.24 (a) Image reduced to 72 dpi and zoomed back to its original size (3002 × 2812 pixels) using nearest neighbor interpolation. This figure is the same as Fig. 2.20(d). (b) Image shrunk and zoomed using bilinear interpolation. (c) Same as (b) but using bicubic interpolation. (d)-(f) Same sequence, but shrinking down to 150 dpi instead of 72 dpi (Fig. 2.24(d) is the same as Fig. 2.20(c)). Compare Figs. 2.24(c) and (f); especially the latter, with the original image in Fig. 2.20(a).

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Spatial resolution / interpolation – (1)

Spatial resolution: upconversion / interpolation

- interpolation is used after shrinking the image and then converting it back to the original size
- Interpolation is using the known data to estimate values at unknown locations
 - example: interpolate 500x500 image to 750x750. Imagine zooming the image and then shrinking it to the original size.
- **Nearest neighbor interpolation:** assign the intensity of the nearest neighbor from the original to the unknown location
- **Bilinear interpolation:** use the intensity of the 4 nearest neighbors to compute the unknown location:
 - $v(x, y) = ax + by + cxy + d$

•Note: a,b,c,d are coefficients from the 4-fold equation system

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Spatial resolution / interpolation – (2)

Interpolation techniques

- **Bicubic interpolation:** computation based on the 16 nearest neighbors of a sample point, using the relation

$$v(x, y) = \sum_{i=0}^3 \sum_{j=0}^3 a_{ij} x^i y^j$$
 - where a 16-fold equation system has to be solved for coeff. a_{ij} .
 - HV Neighbors of a sample: $(x-I, y)$, $(x+I, y)$, $(x, y-I)$, $(x, y+I)$

Perceptive quality differences

- Pixel substitution generates aliasing effects on edges
- Bicubic preserves better detail than bilinear interpolation
- Bilinear interpolation is not a linear function!

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Array and matrix operations – (1)

Array product

- **Array product** relates to the product of two sub-images

$$\begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} a_{11}b_{11} & a_{12}b_{12} \\ a_{21}b_{21} & a_{22}b_{22} \end{bmatrix}$$

- Compare this to the matrix product! (Having the extra terms due to row-column expansion of the product)

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Linear operations – (1)

Linear operator

- A general operator H producing an output $g(x, y)$ for input image $f(x, y)$ satisfying $H[f(x, y)] = g(x, y)$ is said to be a linear operator if

$$H[a_i f_i(x, y) + a_j f_j(x, y)] = a_i H[f_i(x, y)] + a_j H[f_j(x, y)]$$
- The first underlying property is called **additivity**, saying that the output of the operator on two sums is equal to the sum of the individual operations
- A second property is of **homogeneity**, which says that

$$H[a_i f_i(x, y)] = a_i H[f_i(x, y)]$$

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Non-Linear operations

Max is a non-linear operator

- Verify and prove that the non-linear operator max applied in

$$H[a_i f_i(x, y) + a_j f_j(x, y)] = a_i H[f_i(x, y)] + a_j H[f_j(x, y)]$$
 with

$$f_1(x, y) = \begin{bmatrix} 0 & 2 \\ 2 & 3 \end{bmatrix}, f_2(x, y) = \begin{bmatrix} 6 & 5 \\ 4 & 7 \end{bmatrix}, a_1 = 1, a_2 = -1$$
 gives at the left side -2 and at the right-hand side -4.

Both linear and non-linear operators are used in image processing!

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Arithmetic operations – (1)

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Arithmetic operations between images are array operations, meaning that the arithmetic operations are applied to the corresponding pixel pairs.

- the four arithmetic operations are

$$s(x, y) = f(x, y) + g(x, y)$$

$$d(x, y) = f(x, y) - g(x, y)$$

$$p(x, y) = f(x, y) \times g(x, y)$$

$$v(x, y) = f(x, y) \div g(x, y)$$

Where f and g are images of M rows and N columns, and the resulting images also. (example: s is an image signal with noise added)

Arithmetic operations – (2) - Examples

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Arithmetic operations: DSA subtraction between images

- In Digital Subtraction Angiography, images are subtracted before and after injecting an X-ray contrast medium in the blood vessels of the same patient body area.

$$d(x, y) = f(x, y) - g(x, y)$$

- Image segmentation. Here a common technique is to subtract the static background without objects from the usual scene with typical moving foreground objects in it. The subtraction gives the foreground objects, that can be further filtered and clustered for clarity.

Arithmetic operations – (3) - DSA

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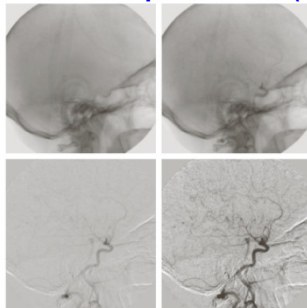


FIGURE 2.28
Digital subtraction angiography. (a) Mask image. (b) A live image. (c) Difference between (a) and (b). (d) Enhanced difference image. (Figures (a) and (b) courtesy of The Image Sciences Institute, University Medical Center, Utrecht, The Netherlands.)

Module 01 – Part 3 Intensity transformation and filtering

52

Filtering basics, Log transform,
histograms, spatial filtering and types

Basics of filtering and intensity – (1)

53

- A standard filtering action is performed in sample (spatial) domain
- Spatial processing is typically less complex in computations and require less resources
- The choice for spatial or transform domain processing depends on (a) the problem and (b) allowed costs.
- Spatial domain processing applies to

$$g(x, y) = T[f(x, y)]$$

- Operator T works on input f and has a neighborhood of point (x, y) .
- Examples: pixel-by-pixel sum over set of images for noise reduction

Basics of filtering and intensity – (2)

54

- A standard filtering technique looks as follows: apply processing with neighborhood and move central pixel to the right (pixel-by-pixel proc.)

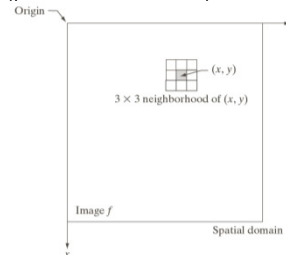


FIGURE 3.1
A 3×3 neighborhood about a point (x, y) in an image in the spatial domain. The neighborhood is moved from pixel to pixel in the image to generate an output image.

Basics of filtering and intensity – (3) 55

- The smallest neighborhood is 1x1 pixel: the pixel itself only.
- Applying a function $T[f(x, y)]$ means transforming each pixel with that function. This gives a new pixel $g(x, y)$, again pixel-by-pixel proc.

Contrast stretching

Contrast clipping: thresholding

FIGURE 3.2 Intensity transformation functions. (a) Contrast-stretching function. (b) Thresholding function.

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Intensity transformations – (1) / Negative 56

- Applying a function $s = T[r]$ transforms a pixel into another value.
- Example 1: **image negative**, apply function $s = L-1-r$ to range $[0, L-1]$
- Example 2: log function, apply the function $s = c \log(1+r)$ with $r \geq 0$. This function 'spreads' intensities, compresses the **dynamic range**

FIGURE 3.3 Some basic intensity transformation functions. All curves were scaled to fit in the range shown.

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Intensity transformations – (2) / Negative 57

Example illustration of the image negative function, a mammogram.

FIGURE 3.4 (a) Original digital mammogram. (b) Negative image obtained using the negative transformation in Eq. (3.2-1). (Courtesy of G.E. Medical Systems.)

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Intensity transformations – (3) / Gamma 58

Power-law (Gamma) transformations, function $s = c r^\gamma$, with $c, \gamma > 0$

- Functions with $\gamma > 1$ and $\gamma < 1$ have the opposite effect!
- The exponent is the gamma and the function is called gamma function

FIGURE 3.6 Plots of the equation $s = cr^\gamma$ for various values of γ ($c = 1$ in all cases). All curves were scaled to fit in the range shown.

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Intensity transformations – (4) / Gamma 59

Power-law (Gamma) transformations, function $s = c r^\gamma$, with $c, \gamma > 0$

- Gamma correction: I-V function in CRT has $\gamma = 2.2$ (take 2.5).
- If a picture is shown, it tends to be too dark.
- With gamma correction the inverse function is applied at capturing (camera) so that the picture is already pre-corrected.

FIGURE 3.7 (a) Intensity ramp image. (b) Image as viewed on a simulated monitor with a gamma of 2.5. (c) Gamma-corrected image. (d) Corrected image as viewed on the same monitor. Compare (d) and (a).

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Intensity transformations – (5) / Gamma 60

Gamma correction is useful in e.g. correcting MRI images, as is shown in visualizing an upper thoracic human spine.

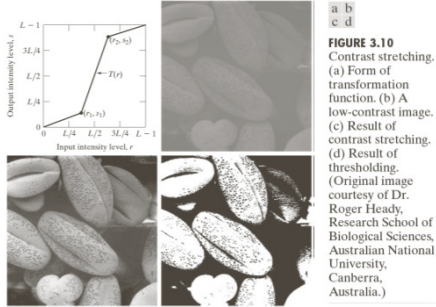
FIGURE 3.8 (a) Magnetic resonance image (MRI) of a fractured human spine. (b)-(d) Results of applying the transformation in Eq. (3.2-3) with $c = 1$ and $\gamma = 0.6, 0.4$, and 0.3 , respectively. (Original image courtesy of Dr. David R. Peckens, Department of Radiology and Radiological Sciences, Vanderbilt University Medical Center.)

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Intensity transformations – (6) / Contrast

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Intensity transform's – (7) / Bit slicing

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With bit slicing, we select a subset of the bit layers or a specific area of the bit gray scale (e.g. here lift up gray scale to white).

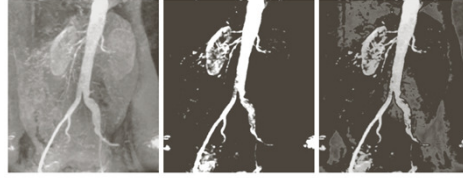


FIGURE 3.12 (a) Aortic angiogram. (b) Result of using a slicing transformation of the type illustrated in Fig. 3.11(a), with the range of intensities of interest selected in the upper end of the gray scale. (c) Result of using the transformation in Fig. 3.11(b), with the selected area set to black, so that grays in the area of the blood vessels and kidneys were preserved. (Original image courtesy of Dr. Thomas R. Gest, University of Michigan Medical School.)

Histogram processing – (1)

63

- Histogram of image is a discrete function $h(r_k) = n_k$, where n_k is the number of intensity levels equal to r_k .
- Common is to normalize of the number of image samples MN .
- Then the normalized histogram becomes $p(r_k) = n_k / MN$.
- Histogram functions are used many times.

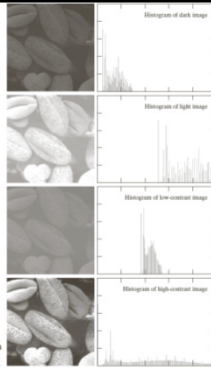


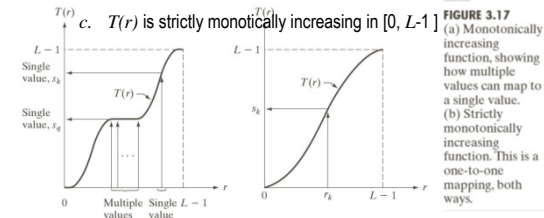
FIGURE 3.16 Four basic image types: dark, light, low contrast, high contrast, and their corresponding histograms.

Histogram processing – (2) / Equalization

64

Consider images with (contin.) intensities r in $[0, L-1]$ and notice mappings of the form $s = T(r)$, where

- $T(r)$ is monotonically increasing (no inversions)
- $s = T(r)$ is also in $[0, L-1]$ (range preserved)



Histogram processing – (3) / Equalization

65

Consider images with (contin.) intensities r in $[0, L-1]$ and note that the intensity levels may be random variables in the interval.

If the PDF of r is known and $T(r)$ is a continuous differentiable function

- $p_s(s) = p_r(r) |dr/ds|$
- $s = T(r) = (L-1) \int_0^r p_r(w) dw$
- Right hand side is the cumulative PDF and we can verify that both previous slide conditions a) and b) hold
- Then $\frac{ds}{dr} = \frac{dT(r)}{dr} = (L-1) \frac{d}{dr} \left[\int_0^r p_r(w) dw \right] = (L-1) p_r(r)$
- Substituting this, gives $p_s(s) = p_r(r) \frac{dr}{ds} = \frac{1}{L-1}$

Histogram processing – (4) / Equalization

66

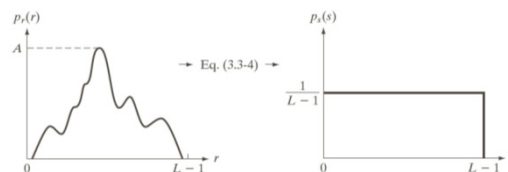


FIGURE 3.18 (a) An arbitrary PDF. (b) Result of applying the transformation in Eq. (3.3-4) to all intensity levels, r . The resulting intensities, s , have a uniform PDF, independently of the form of the PDF of the r 's.

Histogram processing – (5) / Equalization 67

In the discrete case, integrals become summations and

- $p_r(r_k) = \frac{n_k}{MN}$ for $k=0, 1, 2, \dots, L-1$
- plotting this probability for values of r_k gives a **histogram**
- Discrete integration over r gives

$$s_k = T(r_k) = (L-1) \sum_{j=0}^k p_r(r_j) = \frac{(L-1)}{MN} \sum_{j=0}^k n_j$$

- Thus mapping each intensity of the pixels to a new image s with this integration is called **histogram equalization**

Histogram – (6) / Equalization example 68

In a 3-bit image ($L=8$) of size $64 \times 64 = 4096$ the original histogram is $[0.19, 0.25, 0.21, 0.16, 0.08, 0.06, 0.03, 0.02]$

- $p_r(r_k) = \frac{n_k}{MN}$ for $k=0, 1, 2, \dots, L-1$
- Discrete integration over r gives

$$s_0 = T(r_0) = (8-1) \sum_{j=0}^0 p_r(r_j) = 7p_r(r_0) = 1.33$$

$$s_1 = T(r_1) = (8-1) \sum_{j=0}^1 p_r(r_j) = 7(p_r(r_0) + p_r(r_1)) = 3.08$$

- Then values of s may be rounded to their nearest integer and normalization is required

Histogram – (7) / Equalization example 69

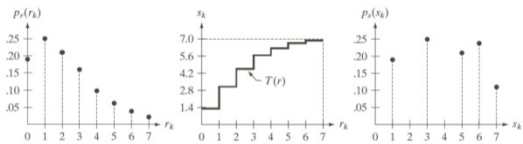


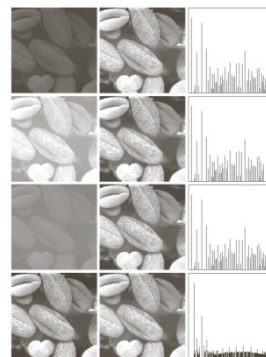
FIGURE 3.19 Illustration of histogram equalization of a 3-bit (8 intensity levels) image. (a) Original histogram. (b) Transformation function. (c) Equalized histogram.

- Histogram is an approximation to a PDF!
- Discrete histogram equalization does not yield a flat uniform distribution

Histogram – (8) / Equalization results 70

Results with

- Too dark and low contrast
- Too bright and low contrast
- Low contrast and gray
- Similar contrast



Spatial filtering – (1) / Basics 71

Filtering is applied in (1) a **neighborhood** (typ. small rectangle) with (2) a **predefined operation**.

- If the operation is linear (multiply / add) it is **linear filtering**
- Assume a window or mask of $m \times n$ with m and n typically odd hence $m=2a+1$ and $n=2b+1$, then filtering is equal to

$$g(x, y) = \sum_{s=-a}^{+a} \sum_{t=-b}^{+b} w(s, t) f(x+s, y+t)$$

- Each pixel in the image is addressed with the mask, so x and y are varied. This called also **convolution** of w and f .

Spatial filtering – (2) / Basics 72

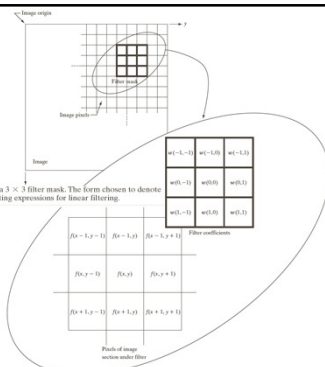


FIGURE 3.28 The mechanics of linear spatial filtering using a 3×3 filter mask. The form chosen to denote the coordinates of the filter mask coefficients simplifies writing expressions for linear filtering.

Spatial filtering – (3) / Basics

Numerical illustration of convolution and correlation

FIGURE 3.29 Illustration of 1-D correlation and convolution of a filter with a discrete unit impulse. Note that correlation and convolution are functions of displacement.

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Spatial filtering – (3) / Basics

Convolving a 2-D mask with a unit impulse creates the mask values in the image

FIGURE 3.30 Correlation (middle row) and convolution (last row) of a 2-D filter with a 2-D discrete, unit impulse. The 0s are shown in gray to simplify visual analysis.

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Spatial filters – (4) / Vector representation

Filtering can be rewritten into a vector form

- Sum of products is equal to the inner product of two vectors
- This gives

$$R = w_1 z_1 + w_2 z_2 + \dots + w_{mn} z_{mn} =$$

$$R = \sum_{k=1}^{mn} w_k z_k = \mathbf{w}^T \mathbf{z}$$

Convolution: rotate masks with 180 degrees, for correlation, use the mask given

FIGURE 3.31 Another representation of a general 3×3 filter mask.

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Spatial filters – (5) / Smoothing filters

- Smoothing filters are **low-pass filters**, and take away details
- Sometimes also called averaging filters (see example below)
- First example is called a box filter
- Second example is a **weighted average**

FIGURE 3.32 Two 3×3 smoothing (averaging) filter masks. The constant multiplier in front of each mask is equal to 1 divided by the sum of the values of its coefficients, as is required to compute an average.

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Spatial filters – (6) / Smoothing filters

FIGURE 3.33 (a) Original image, of size 500×500 pixels. (b)-(f) Results of smoothing with square averaging filter masks of sizes $m = 3, 5, 9, 15,$ and $35,$ respectively. The black squares at the top are of sizes $3, 5, 9, 15, 25, 35, 45,$ and 55 pixels, respectively; their borders are 25 pixels apart. The letters at the bottom range in size from 10 to 24 pixels, in increments of 2 points; the large letter at the top is 60 points. The vertical bars are 5 pixels wide and 100 pixels high; their separation is 20 pixels. The diameter of the circles is 25 pixels, and their borders are 15 pixels apart; their intensity levels range from 0% to 100% black in increments of 20%. The background of the image is 10% black. The noisy rectangles are of size 50×120 pixels.

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Spatial filters – (6) / Sharpening principle

With unsharp masking, the following steps are taken

- Blur original image
- Subtract the blurred image from original
- Add the mask to the signal

FIGURE 3.39 1-D illustration of the mechanics of unsharp masking. (a) Original signal. (b) Blurred signal with original shown dashed for reference. (c) Unsharp mask. (d) Sharpened signal, obtained by adding (c) to (a).

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Spatial filters – (7) / Sharpening filters 79

- Sharpening filters are often based on **derivatives of the signal**
- Taking **first derivatives** of a function must give that
 - (1) 1st derivative is zero when intensity is constant
 - (2) 1st derivative is non-zero at the transition of the signal or ramps
 - (3) 1st derivative is non-zero along signal ramps
- Similarly, the **second derivative** takes the following conditions
 - (1) 2nd derivative is zero in flat areas
 - (2) 2nd derivative is non-zero at the onset and end of a step
 - (3) 2nd derivative is zero along ramps and rises of fixed slope

Spatial filters – (8) / Sharp. 1-D derivation 80

One-dimensional derivation of a discrete signal $f(x)$

- Sharpening filters are often based on **derivatives of the signal**

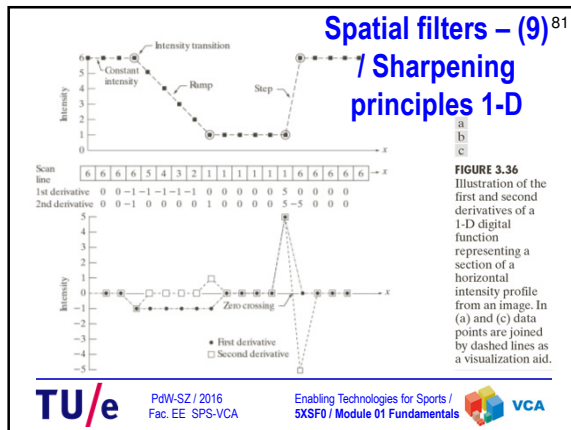
- Taking **first derivatives** of a function is performed with

$$\frac{\partial f}{\partial x} = f(x+1) - f(x)$$

- Similarly, the **second derivative** takes the following conditions

$$\frac{\partial^2 f}{\partial x^2} = f(x+1) + f(x-1) - 2f(x)$$

- Ex: Which hidden filter coefficients do you find?



Spatial filters – (10) / Sharp. Deriv. in 2-D 82

- Laplacian filters are based on the **2nd derivatives of the signal**
- Laplacian filters are also used in image segmentation
- Interest in isotropic filters: response is independent of the direction of the signal discontinuities to which the filter is applied; e.g. a simple form is

$$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

- which gives finally

$$\frac{\partial^2 f}{\partial x^2} = f(x+1, y) + f(x-1, y) - 2f(x, y), \text{ etc.}$$

$$\nabla^2 f(x, y) = f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1) - 4f(x, y)$$

Spatial filters – (11) / Sharpening 2-D filters 83

| | | | | | |
|----|----|----|----|----|----|
| 0 | 1 | 0 | 1 | 1 | 1 |
| 1 | -4 | 1 | 1 | -8 | 1 |
| 0 | 1 | 0 | 1 | 1 | 1 |
| 0 | -1 | 0 | -1 | -1 | -1 |
| -1 | 4 | -1 | -1 | 8 | -1 |
| 0 | -1 | 0 | -1 | -1 | -1 |

FIGURE 3.37 (a) Filter mask used to implement Eq. (3.6-6). (b) Mask used to implement an extension of this equation that includes the diagonal terms. (c) and (d) Two other implementations of the Laplacian found frequently in practice.

Spatial filters – (12) / Sharpening filters results 2D 84

Laplacian filters highlight discontinuities and edges and deemphasize slowly varying intensity areas

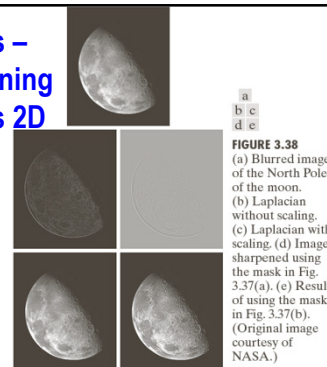


FIGURE 3.38 (a) Blurred image of the North Pole of the moon. (b) Laplacian without scaling. (c) Laplacian with scaling. (d) Image sharpened using the mask in Fig. 3.37(a). (e) Result of using the mask in Fig. 3.37(b). (Original image courtesy of NASA.)